



Dispensations Sub (Standards) Committee

Date: MONDAY, 1 APRIL 2019

Time: 10.30 am

Venue: COMMITTEE ROOM 3 - 2ND FLOOR WEST WING, GUILDHALL

Members: Caroline Addy (Chairman)
Judith Barnes
Alderman David Graves
Ann Holmes

Enquiries: Martin Newton
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Lunch will be served in the Guildhall Club at 1pm

This meeting could be the subject of audio or visual recording

John Barradell
Town Clerk and Chief Executive

AGENDA

1. **APOLOGIES**
2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**
3. **DISPENSATION REQUESTS**
Report of the Town Clerk.

For Decision
(Pages 1 - 108)

4. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**
5. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

Committee: Dispensations Sub (Standards) Committee	Date: 1 April 2019
Subject: Requests for a Dispensation under the Corporation's approved Dispensations Policy	Public
Report of: Report of Town Clerk	For Decision
Report author: Martin Newton	

Summary

Seven Members have requested a dispensation to speak / and or vote on specified matters where they would otherwise have a disclosable pecuniary interest by virtue of their beneficial interest in land within the City.

Recommendation

Your Committee is asked to determine the applications for a dispensation.

Main Report

Background

1. Seven Members have submitted requests for a dispensation. The Standards Committee has requested that all such applications are accompanied by a covering report. These applications are the first to be submitted under the new dispensations policy that came into force on 1 March 2019 (appendix 1). The statutory grounds for granting a dispensation under the Localism Act 2011, and the factors that your Committee should take into account when considering these applications, are set out and explained in that document.

The applications

2. The submitted applications for dispensations are attached as appendices 2 to 8. This report does not seek to include all information included in the application forms, however, a summary of the relevant disclosable pecuniary interest and the dispensation sought in each case is set out in the following paragraphs.

Mark Bostock

3. In summary, Mark Bostock confirms he is a member for one of the two wards that encompass the Barbican Estate (Cripplegate) and has a joint long lease with his wife of a flat at Frobisher Crescent, Barbican, EC2Y 8HD. Although there are references within the application form to speaking rights only, Mark Bostock has confirmed that the dispensation request is to speak and vote on all matters relating to the Barbican residential estate except any matter relating exclusively to Frobisher Crescent.

Mary Durcan

4. In summary, Mary Durcan confirms she is a member for one of the two wards that encompass the Barbican Estate (Cripplegate) and has a long lease of a flat at Andrewes House, Barbican, EC2Y 8AX. Mary Durcan also has also registered a car parking space in Andrewes House as a disclosable pecuniary interest. The dispensation request is to speak on all matters relating to the Barbican residential estate except any matter relating exclusively to Flats 8 to 95 (inclusive) Andrewes House. Given the large size of Andrewes House she considers that beyond those flats there are no matters which might affect her flat that do not affect all the properties on the Barbican estate.

Barbara Newman

5. In summary, Barbara Newman confirms she is a member for one of the two wards that encompass the Barbican estate (Aldersgate) and has a leasehold interest in Mountjoy House, Barbican, EC2Y 8BP. The dispensation request is to speak on all matters relating to the Barbican residential estate except any matter relating exclusively to Mountjoy House.

Deputy David Bradshaw

6. In summary, David Bradshaw confirms that he is a member for one of the two wards that encompass the Barbican estate (Cripplegate) and has a leasehold interest in a property at Cromwell Tower, Barbican, EC2Y 8DD. The dispensation request is to speak on all matters relating to the Barbican residential estate except any matter relating exclusively to Cromwell Tower.

Randall Anderson

7. In summary, Randall Anderson confirms that he is a member for one of the two wards that encompass the Barbican estate (Aldersgate) and has a tenancy of a property at Breton House, Barbican, EC2Y 8DQ. The dispensation request is to speak on all matters relating to the Barbican residential estate except any matter relating exclusively to Breton House.

8. Deputy John Tomlinson

In summary, John Tomlinson confirms that he is a member for one of the two wards that encompass the Barbican estate (Cripplegate) and has a leasehold interest in a property at Cromwell Tower, Barbican, EC2Y 8DD. The dispensation request is to speak on all matters relating to the Barbican residential estate except any matter relating exclusively to Cromwell Tower.

9. Adrian Bastow

In summary, Adrian Bastow confirms that he is a member for one of the two wards that encompass the Barbican estate (Aldersgate) and has a tenancy interest in a property at Defoe House, Barbican, EC2Y 8ES. The dispensation request is to speak on all matters relating to the Barbican residential estate except any matter relating exclusively to Flats 1 to 58 (inclusive) Defoe House.

Specific considerations

10. All of the above are Members of the Barbican Residential Committee, which is made up of 20 members, including 4 representatives from Aldersgate and 7 representatives from Cripplegate (Within and Without) The quorum for that Committee is any four non-residents of the Barbican Estate.
11. The dispensation requests were received shortly before a meeting of the Barbican Residential Committee on 18 March. As an interim measure, in consultation with the Chairman and Deputy Chairman of the Standards Committee, the Town Clerk approved dispensations for speaking rights only for the above Members on general housing matters, and where applicable parking spaces and private storage spaces, under the urgency provisions of Standing Order No. 41(a). The applications now need to be considered in full by your Committee.
12. A summary of dispensation decisions taken since May 2017 by the Standards Committee, Dispensations Sub (Standards) Committee and under Standing Orders 41(a) and (b) is attached as appendix 9.

Conclusion

13. Your Committee is asked to determine these applications in accordance with the new policy on the granting of dispensations that came into force on 1 March 2019.

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Standards Committee

Policy and guidance on the granting of dispensations under the Localism Act 2011 and the Members' Code of Conduct

Introduction

Purpose of this document

1. The purpose of this document is to explain:
 - (a) what a dispensation is, and when it might be necessary to apply for one in order to participate in an item of business;
 - (b) the process for applying for a dispensation;
 - (c) the statutory grounds for granting a dispensation;
 - (d) the agreed additional factors that will be taken into account in deciding whether one or more of the statutory grounds have been satisfied; and
 - (e) the general policy position on the granting of dispensations.
2. The aim is to provide as much guidance as possible to Members and Co-opted Members (referred to collectively here as "Members") about when it might be appropriate to apply for a dispensation, the information that should be provided in the application form in every case, and additional information that might usefully be provided in order to support a particular application. This document will also be used by the Standards Committee to ensure consistency in decision making.

Application

3. The Localism Act 2011 applies to the City Corporation in its capacity as a local authority or police authority. However, the City Corporation has chosen to apply the Members' Code of Conduct, including the rules on disclosable pecuniary interests, to all of its functions – not just its local authority and police authority functions. The Code of Conduct applies to any member of the City Corporation and any external or co-opted member of a committee of the City Corporation (collectively referred to as a "Member" in this document).

Statement of general policy

4. The default statutory position is that a Member who has a disclosable pecuniary interest in any matter being considered at a meeting cannot speak or vote on that matter. Members may apply for a dispensation from these restrictions on specified statutory grounds and all applications will be decided on their individual merits. The Standards Committee will exercise its discretionary power to grant dispensations subject to its general duty to promote high standards of conduct; in a way that is consistent with the Seven Principles of Public Life and helps to maintain public

confidence in the conduct of the City Corporation's business. In considering whether and how to exercise its discretion the Standards Committee will need to see good reasons why an application should succeed on one or more of the statutory grounds, with particular reference to the additional factors set out in this document. **The onus is on the Member making an application to demonstrate that a dispensation is justified in the circumstances.**

5. The Court of Common Council has requested that the Standards Committee "...adopt a position where Members would generally be granted a dispensation to speak (but not vote) on all matters concerning their Ward where they have an engaged disclosable pecuniary interest other than when that disclosable pecuniary interest would be directly and materially impacted by a matter to be determined at a meeting of the Court or one of its committees or sub-committees, subject of course to the proper exercise of the statutory discretion in each case." This is the guiding principle that underpins this policy.

Disclosable pecuniary interests

6. In order to consider dispensations it is first necessary to understand the rules around disclosable pecuniary interests – what they are, when they are engaged and their effect on participation. A summary of the position is therefore set out at Appendix 1. Members should also refer to the other guidance available on disclosable pecuniary interests and the Members' Code of Conduct, which can be accessed via the link in Appendix 1.

Granting dispensations

The process

7. A relevant authority may, on a written request made to the proper officer of the authority by a Member of the authority, grant a dispensation relieving the Member from either or both of the restrictions on speaking or voting in cases described in the dispensation. A dispensation must specify the period for which it has effect, which may not exceed four years. At the City Corporation the granting of such dispensations is a function of the Standards Committee and its Dispensations Sub-Committee (referred to collectively in this document as "the Standards Committee") although individual applications will normally be considered by the Dispensations Sub-Committee. The Standards Committee has decided to delegate authority to determine certain types of straightforward dispensation applications to the Town Clerk.
8. Dispensation applications, whether determined by the Standards Committee, or by the Town Clerk under delegated authority, are subject to the statutory rules on public access to information in the normal way. In most cases the public interest in disclosing this information will outweigh the public interest in maintaining any applicable exemption. This means that the detail of any application will normally be made public, even if it contains special category personal data, including information about a protected characteristic, that is relevant to the application.
9. As previously stated, the onus is on individual Members to decide whether they have a disclosable pecuniary interest in any given matter. Therefore the Standards

Committee will generally assume that any dispensation being sought is required in order to allow the Member concerned to participate in the relevant item of business and will not normally refuse a request simply on the basis that a dispensation is not thought to be necessary. The only exception to this is where the facts as disclosed in the application form could not possibly engage a disclosable pecuniary interest. **Any dispensation is entirely permissive in nature and does not impose any restrictions on speaking or voting where no such restrictions otherwise exist.**

10. The expectation is that the Town Clerk will read out any applicable dispensations at an appropriate point in the meeting, either under the agenda item on Members' declarations or at the start of the consideration of the item of business in question. However it is the responsibility of the Member concerned to make sure that the existence and nature of any dispensation being relied upon is made known at a meeting.
11. Any dispensation relates only to the disclosable pecuniary interest(s) cited in the application. If a Member has another engaged disclosable pecuniary interest, that was either omitted from the original application, or arose after the original application was made, then this will not be covered by the terms of the existing dispensation. A Member wishing to speak or vote on a relevant item of business in such circumstances would need to make a fresh application. The Standards Committee also reserves the right to review and revoke or amend any dispensation previously granted in appropriate circumstances.

Timeliness of applications

12. The Standards Committee requests that Members lodge any applications as soon as possible after becoming aware that a dispensation is required in order to participate in a particular item of business. A Member does not have to wait until they know the precise date of the meeting at which a matter will be considered before applying for a dispensation. If applications are submitted at short notice it may not be possible to consider them in time for the meeting in question.

The statutory grounds for granting a dispensation

13. The legislation provides that a relevant authority (which includes the City Corporation) may only grant a dispensation if, after having had regard to all relevant circumstances, the authority:
 - (a) considers that without the dispensation the number of persons prohibited from participating in any particular business would be so great a proportion of the body transacting the business as to impede the transaction of the business;
 - (b) considers that without the dispensation the representation of different political groups on the body transacting any particular business would be so upset as to alter the likely outcome of any vote relating to the business;*
 - (c) considers that granting the dispensation is in the interests of persons living in the authority's area;

- (d) if it is an authority operating executive arrangements, considers that without the dispensation each Member of the authority's executive would be prohibited from participating in any particular business to be transacted by the authority's executive;* or
- (e) considers that it is otherwise appropriate to grant a dispensation.

* Grounds (b) and (d) are not directly applicable to the City Corporation but are included for completeness and context.

Comments on the statutory grounds

- 14. The default position is that a Member with a disclosable pecuniary interest in any matter may not speak or vote on that matter. **The onus is on the Member making an application to demonstrate that at least one of the statutory grounds for granting a dispensation is satisfied.**
- 15. One obvious example of where it may be appropriate to grant a dispensation under statutory ground (a) is where the decision-making body would otherwise be inquorate.
- 16. In the Standards Committee's view the reference in statutory ground (c) to "persons living in the authority's area" is a reference to residents. A dispensation may also be granted where it is in the interests of other persons accessing the City, its facilities and services – such as City workers – but this would properly come under statutory ground (e). In both cases, the Standards Committee will consider whether not granting a dispensation would be to the disadvantage of that group. The Standards Committee will also take into account how many persons would be disadvantaged, and to what extent.

Dispensation decisions that are delegated to the Town Clerk

- 17. The Standards Committee has decided to delegate authority to determine certain types of straightforward dispensation applications to the Town Clerk. Whilst one or more of the statutory grounds for granting a dispensation must still be satisfied in each case, the Standards Committee considers that it will normally be possible to establish this in relation to the three types of application set out below. The Town Clerk may grant such dispensations for a term ending on or before the date of the next ordinary Common Council elections. Any Member who requires a dispensation that goes beyond these delegated arrangements must apply to the Standards Committee in the normal way. The matters delegated to the Town Clerk are as follows:

Council tax

- (a) The Department for Communities and Local Government guide for councillors entitled 'Openness and transparency on personal interests' states that, "...being a council tax payer does not mean that you need a dispensation to take part in the business of setting the council tax or precept or local arrangements for council tax support." Whilst this guidance will no doubt provide comfort to Members, it is not intended to be a definitive

statement of the legal position. Although the prosecution of a Member who participated in such circumstances is highly unlikely, this cannot be absolutely guaranteed. Members are therefore entitled to apply for a dispensation to speak and vote on the setting of council tax should they wish to have greater assurance on this point. As the Standards Committee considers the granting of a dispensation in these circumstances to be uncontroversial, authority has been delegated to the Town Clerk to determine applications for dispensations relating to council tax.

Speaking on planning and licensing applications

- (b) The Standards Committee is of the view that, subject to certain safeguards, Members should generally be permitted to speak with the same rights as a member of the public on planning and licensing applications. However, where a Member has a relevant disclosable pecuniary interest, the Localism Act 2011 prohibits this unless a dispensation is applied for and granted for the purpose. The Standards Committee considers that granting a dispensation in these circumstances will normally be in the interests of persons living in the City and/or will be otherwise appropriate. Therefore, authority has been delegated to the Town Clerk to grant dispensations in appropriate circumstances, to Members who are not members of the Committee in question, for the purpose of making oral representations, answering questions, or giving evidence, relating to planning and licensing applications where the public are also allowed to attend the meeting for the same purpose. This is dependent on the Member in question having submitted written representations on a particular application, or otherwise having satisfied the criteria to speak as a member of the public in the normal way. Any Member relying on such a dispensation should then be treated as a member of the public when making oral representations on that matter. These dispensations are not available to Members of the Planning Committee or the Licensing Committee in relation to the business of their own Committee, and any dispensation granted under delegated powers for this purpose will lapse if a Member is subsequently appointed to the Committee in question. Members of the Planning Committee and the Licensing Committee may still apply for a dispensation to participate in relation to the business of their own Committee, where they have a relevant disclosable pecuniary interest, but must do so on a case by case basis to the Standards Committee.

Speaking on general housing matters

- (c) The Standards Committee is of the view that Members should normally be permitted to speak on general housing matters¹ even where they have one or more of the following types of disclosable pecuniary interest relating to a residential property in the City:
 - (i) A lease or tenancy from the City Corporation.
 - (ii) A licence from the City Corporation to occupy land for a month or longer.
 - (iii) A corporate tenancy from the City Corporation, where the tenant is a company in which the Member has a beneficial interest.

N.B. Under the Localism Act 2011 this includes any disclosable pecuniary interest belonging to a spouse, civil partner, or person with whom the Member is living as husband or wife, or as if they were civil partners.

The Standards Committee considers that granting a dispensation in these circumstances will generally be in the interests of persons living in the City. Therefore, authority has been delegated to the Town Clerk to grant such dispensations, so long as the item of business does not relate particularly to the Member's own disclosable pecuniary interest. What this means in practice is that a Member with such a dispensation will be able to speak on housing matters that affect all of the City Corporation's tenants or leaseholders on a particular estate equally. This would include, for example, speaking on the appropriate level of service charge. However, such a dispensation will not permit a Member to speak on an item of business that relates solely or particularly to their own lease or tenancy. This would include, for example, rent arrears or repairs relating to the Member's own property. If a Member is unsure whether an item of business relates particularly to their own disclosable pecuniary interest, they are encouraged to seek advice from the Monitoring Officer or the Town Clerk, or apply for a specific dispensation from the Standards Committee.

¹ For these purposes "general housing matters" means the exercise of the City Corporation's functions as a housing authority in relation to:-

- Housing governance i.e. decision making, scrutiny and consultation arrangements together with any proposals for stock transfer.
- General housing management i.e. arrangements for the proper management of the City Corporation's housing stock and housing estates including management of common parts, estate amenities and community facilities, and commercial properties which are an integral part of housing estates, together with the procurement of services to carry out such activities.
- General repairs and maintenance including arrangements for procuring repairs and maintenance.
- General rent and service charge setting.
- Strategic housing policy including allocations, homelessness and the provision of new homes.

For these purposes "general housing matters" does not include:

- The provision of parking spaces, and private storage spaces separate from a dwelling.

Factors to be taken into consideration by the Standards Committee

18. In deciding whether to grant a dispensation under one or more of the specific statutory grounds, the Standards Committee will take into account the (non-exhaustive) list of factors set out in Appendix 3, as well as any other relevant circumstances, as appropriate. However, the Standards Committee will look at the

merits of each application in the round, and simply addressing one or more of the factors in Appendix 3 does **not** mean that a dispensation will be granted.

Other related matters

Multiple applications from a particular ward

19. Applications to participate in a particular item of business may be received from more than one Member of the same ward. The Standards Committee would prefer to consider the respective merits of all applications from a single ward on a particular item of business at the same time, rather than on a 'first come, first served' basis. To assist with this process, Members are reminded of the request to lodge any applications as soon as possible after becoming aware that a dispensation is required. The Members of each ward are encouraged to work together in deciding whether an application for a dispensation should be made and, if so, in considering which Member or Members would be in the strongest position to apply. This could potentially be organised through the ward deputy.

Section 618 of the Housing Act 1985

20. Under section 618 of the Housing Act 1985, a Member of the City Corporation may not vote on a resolution or question which is proposed or arises in pursuance of the Housing Act 1985 or the Housing Associations Act 1985 (concerning various housing management issues) and relates to land in which they are beneficially interested. This restriction is separate from, and runs parallel to, the relevant provisions of the Localism Act 2011. **It is not possible to grant a dispensation from the restriction on voting contained in this section.**
21. What this means in practice is that if a housing matter is being considered at a meeting that relates to land in which a Member has a beneficial interest, that Member may not vote, by virtue of section 618 of the Housing Act 1985. Even were the Standards Committee to grant a dispensation to vote under the provisions of the Localism Act 2011, that Member would still be prohibited from voting under section 618 of the Housing Act 1985. In addition, they may only speak on the matter if they have obtained a dispensation to do so under the Localism Act 2011.

Conclusion

22. Requests for dispensations will be determined on their own merits and any dispensation granted must be justified on one or more of the statutory grounds. Dispensations to speak and vote on council tax, to speak on general housing matters, and to speak on planning and licensing applications as a member of the public, may be granted by the Town Clerk under delegated authority. All other applications will be considered by the Standards Committee, which will need to be presented with a clear case and will be guided by the principles set out in this document in making its decision. Any Member applying for a dispensation to the Standards Committee should thoroughly address the factors set out at Appendix 3. Applications should be submitted in good time where possible and Members are requested to liaise with the other Members of their ward where appropriate.

Approved by the Standards Committee and in force from 1 March 2019.

Appendix 1 – Disclosable pecuniary interests

What is a disclosable pecuniary interest?

1. Under the Localism Act 2011 and The Relevant Local Authorities (Disclosable Pecuniary Interests) Regulations 2012 there are a number of disclosable pecuniary interests that prevent a Member from participating in any discussion or vote on a connected item of business under the following headings:

- (a) Employment;

Any employment, office, trade, profession or vocation carried on for profit or gain.

- (b) Sponsorship;

Any payment, etc. towards the election expenses of a Member, or the expenses incurred in carrying out their official duties (other than from the City Corporation). This would include any payment from a trade union.

- (c) Contracts;

Any contract with the City Corporation for goods, services or works. This will include any Member with one or more children at any of the City Corporation's independent schools.

- (d) Land;

Any beneficial interest in land which is within the City. This includes any freehold or leasehold interest in land, as well as any tenancy.

- (e) Licences;

Any licence to occupy land in the City for a month or longer.

- (f) Corporate tenancies;

Any tenancy where the City Corporation is the landlord and the tenant is a company or other body in which the Member or another relevant person has a beneficial interest.

- (g) Securities.

Any shares, debentures, debenture stock, loan stock, bonds, unit trusts and similar investments in a body that has a place of business or land in the City and the total nominal value exceeds £25,000 or 1/100th of the total issued share capital.

2. The disclosable pecuniary interest that is most commonly engaged in relation to planning, licensing and housing matters is (d) Land.

When is a disclosable pecuniary interest engaged?

3. The Localism Act 2011 does not provide any additional guidance on judging whether a disclosable pecuniary interest should impact on a Member's participation in a particular item of business or not. It simply states that the prohibition on speaking or voting on a matter applies where a Member:

- (a) is present at a meeting;
- (b) has a disclosable pecuniary interest in any matter to be considered, or being considered, at the meeting; and
- (c) is aware that the condition in paragraph (b) is met.

It is not possible to simply substitute the different descriptions of a disclosable pecuniary interest, such as 'land' or 'employment', into (b) above. Therefore some additional form of wording has to be read into this provision, whether that refers to a disclosable pecuniary interest being 'engaged' in any matter, or 'relating to' any matter, or being 'affected by' any matter. There isn't a definitive test – whether a Member has a disclosable pecuniary interest in a particular item of business is a matter of fact and degree to be determined in each individual case.

4. It is therefore up to individual Members to make a judgement as to whether any disclosable pecuniary interest that they possess relates to a particular item of business, drawing on their experience and taking any advice as appropriate. As a starting point, a Member should consider:

- (a) whether the matter before the meeting could reasonably be said to appear to be likely to affect their disclosable pecuniary interest; or
- (b) whether a member of the public would consider that the Member might be influenced by their disclosable pecuniary interest.

If the answer to either of these questions is in the affirmative, then the Member is likely to have a disclosable pecuniary interest in the matter being considered. This will be the case, for example, where a decision would materially affect a Member's interest in land, either by affecting the value of that land, the prospects of selling that land, or the use and enjoyment of that land. It should be apparent from the above examples that there does not have to be a financial impact on a Member in order for that Member to be prohibited from participating in a particular item of business.

5. Speaking in general terms, a Member is highly likely to have an engaged disclosable pecuniary interest in a planning or licensing application for a property adjacent to their home. A Member is less likely to have a disclosable pecuniary interest in a planning or licensing application for a property several streets away from their home. However, any decision on whether a Member does have a disclosable pecuniary interest in a particular matter will always depend on the particular circumstances.
6. It may be helpful to give some specific examples of scenarios where a disclosable pecuniary interest will not normally be engaged. In the Standards Committee's view, no Member will have a disclosable pecuniary interest in general matters such as City-wide refuse collection, street cleaning or air quality, even if they do live and/or work

in the City (unless, for example, they are contractually involved in the delivery of the service).

Relationship between the Localism Act 2011 and the Members' Code of Conduct

7. The provisions of the Localism Act 2011 in relation to disclosable pecuniary interests are reflected in the Members' Code of Conduct. Paragraph 13 provides that, "Unless dispensation has been granted, you may not participate in any discussion of, vote on, or discharge any function related to any matter in which you have a pecuniary interest as defined by regulations made by the Secretary of State". **The Members' Code of Conduct applies to all of the City Corporation's functions, not just local authority and police authority functions.**

Effect on participation and possible sanctions

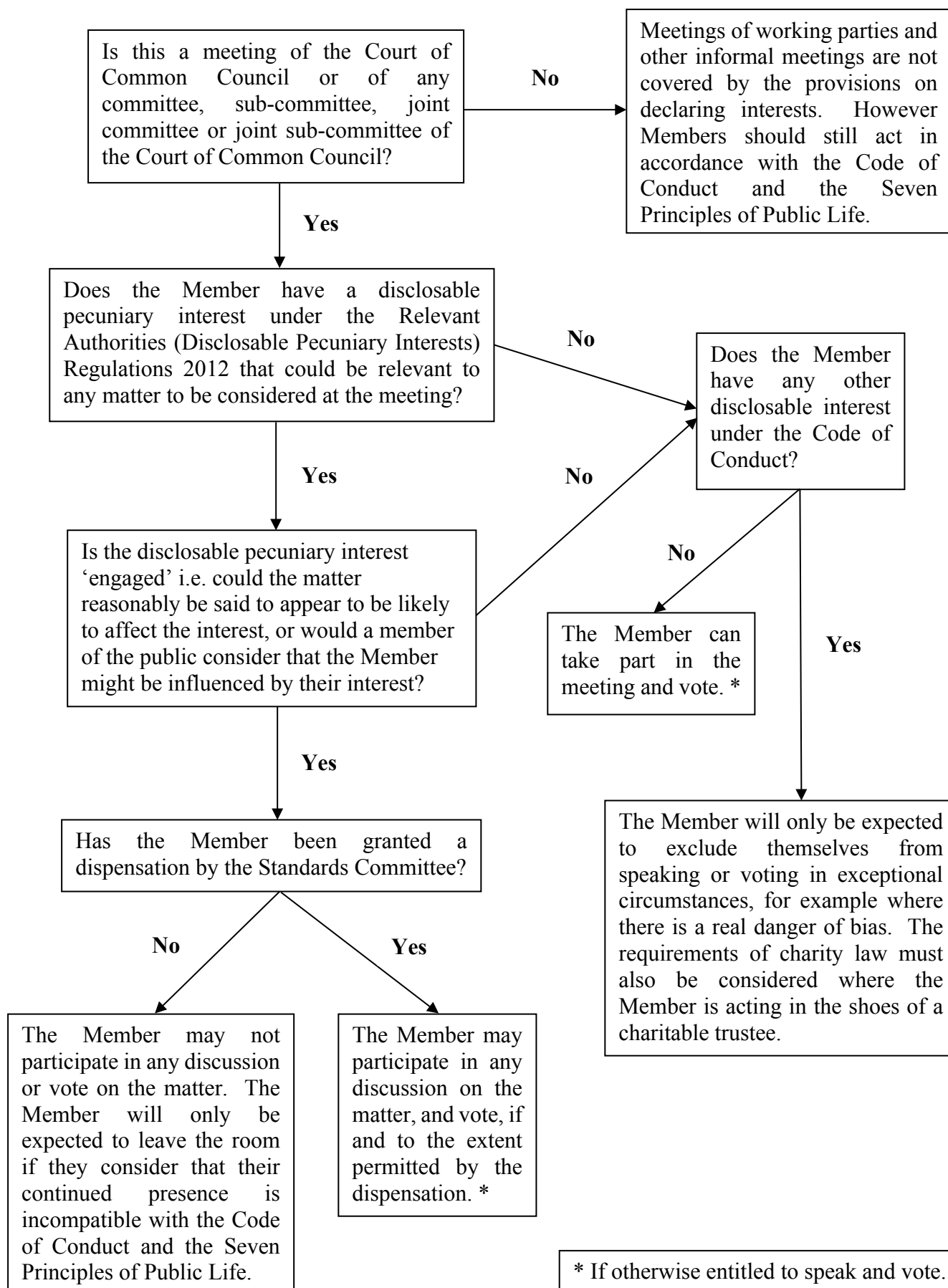
8. A Member who is present at a meeting of the City Corporation, and who has a disclosable pecuniary interest relating to any business being considered, must not participate in any discussion of the business at the meeting, or participate in any vote taken on the matter at the meeting. If a Member becomes aware of their disclosable pecuniary interest during the meeting, they should not participate further from that point. **The prohibition on speaking includes speaking as a member of the public.** In certain circumstances, Members can request a dispensation from these prohibitions. The City Corporation's standing orders do not require a Member with a disclosable pecuniary interest in an item of business to automatically leave the room. The Member should however leave the room if they consider that their continued presence is incompatible with the Members' Code of Conduct or the Seven Principles of Public Life. A flowchart illustrating these principles is attached at Appendix 2.
9. A Member commits a criminal offence if, without reasonable excuse, they participate in any discussion or vote on any City Fund matter (e.g. a local authority or police authority matter) in which they have a disclosable pecuniary interest. For this reason Members are advised to err on the side of caution. A Member who is found guilty of such an offence can be fined up to £5,000 and disqualified from holding office for up to five years. A prosecution may only be instigated by or on behalf of the Director of Public Prosecutions (DPP). In all cases, whether an item of business falls under the City Fund or not, a Member who participates in any discussion or vote despite having a disclosable pecuniary interest will be committing a breach of the Members' Code of Conduct. A breach of the Code of Conduct may also occur whether a Member is aware that they have a disclosable pecuniary interest or not.
10. Where a Member has an engaged disclosable pecuniary interest, there are other mechanisms through which the views of their constituents can be communicated, without the need for a dispensation. The Member could for example submit written representations, or brief another Member to speak on their behalf.

Further information

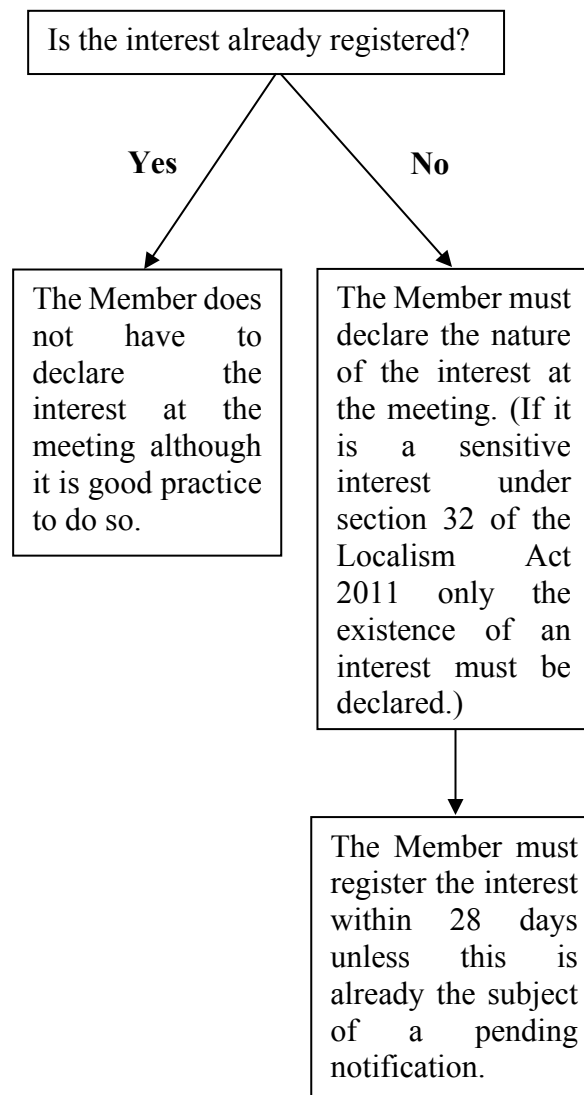
11. The full text of the Members' Code of Conduct and additional guidance can be found at the following link: <https://www.cityoflondon.gov.uk/about-the-city/how-we-make-decisions/Pages/corporate-governance.aspx>. Members are advised to seek advice from the Monitoring Officer or the Town Clerk if they are unsure about whether they have a disclosable pecuniary interest in a particular matter.

Appendix 2 – Interests at meetings

Part I: Participation at meetings where an interest may be engaged



Part II: Declaring interests at meetings and subsequent registration



Appendix 3 – Factors to be taken into consideration by the Standards Committee

Maintaining public confidence

- (a) Is the nature of the Member's interest such that allowing them to participate would risk damage to public confidence in the conduct of the City Corporation's business?

Applications to vote

- (b) Granting a dispensation to vote has a more direct influence over the decision-making process than a dispensation to speak, goes beyond simply representing the views of constituents and carries more risk of damaging public confidence. **Therefore, a dispensation to vote will only be granted in exceptional circumstances.**

Equivalent public rights

- (c) The default position under the Localism Act 2011 is that a Member with a disclosable pecuniary interest in a matter being considered at a meeting loses any right to speak that they would otherwise have had – **even as a member of the public**. However, in the Standards Committee's view the existence of such public speaking rights are a relevant consideration. Therefore, a dispensation to speak is more likely to be granted for the purpose of making representations, answering questions or giving evidence relating to the business where the public are also allowed to attend the meeting for the same purpose, whether under a statutory right or some other reasonable expectation. This is most likely to arise in relation to planning and licensing matters (see paragraph 17(b) of the main document) but may arise in other areas. Any Member granted a dispensation to speak in such circumstances should then be treated as a member of the public when making oral representations on that matter. The onus though is still on the Member concerned to demonstrate that it is appropriate to grant a dispensation.

Expectation of ward representation

- (d) Is there a reasonable expectation that the Member's ward will be directly represented? For example, is the item of business to be considered at a ward committee? Does the item of business directly affect the Member's ward?

Widely held interests

- (e) Is the interest common to the Member and a significant proportion of the general public? If so, a Member may be less likely to be influenced by that interest, and granting a dispensation may carry less risk of damaging public confidence. An obvious example would be the setting of council tax.

Directly impacted interests

- (f) How directly or materially impacted is the disclosable pecuniary interest? For example, whilst arrangements have been put in place for allowing a Member with a lease or tenancy from the City Corporation to participate in general housing discussions, the Standards Committee will only grant a dispensation to a Member to participate in business relating to their particular lease or tenancy in very exceptional circumstances.

Personal knowledge, etc.

- (g) Is the participation of the Member in the business that the interest relates to justified by their particular knowledge, role or expertise? Would the potential contribution be of especial value to the decision making process and provide a perspective that would not otherwise be available? Should the knowledge or expertise in question be provided by a Member or by a disinterested official adviser? Would the Member's participation assist or potentially distort the debate?

Diversity and inclusion

- (h) Does the Member have a particular viewpoint that might not otherwise be represented and might assist the debate in relation to that particular matter – whether this relates to age, race, disability, gender, sexual orientation, religion or belief, or any other protected characteristic?

Manifesto promises

- (i) Was the Member elected on a public platform that they would specifically address the item or items of business for which the dispensation is sought? Did this appear as a commitment in their election material?

Scope and duration

- (j) Some requests for dispensations that are received are general in nature and for a lengthy time period. Others are much more specific in relation to a particular matter at a particular meeting. **A focussed application is more likely to be successful** as this enables the Standards Committee to consider a specific set of circumstances. However, to avoid unnecessary bureaucracy arising from delays and adjournments, it is generally acceptable to apply for a dispensation in relation to a specific matter at a specific meeting, and/or such later meetings of that committee during the municipal year at which the matter may be considered.

Previous dispensation decisions

- (k) The Standards Committee cannot fetter its own discretion and must consider each application on its own merits. However, it is beneficial for all concerned for there to be a consistent approach to applications made in similar circumstances, and the Standards Committee will therefore have due regard to its own previous decisions, always acknowledging that the consensus can change over time.



REQUEST FOR A DISPENSATION TO SPEAK AND/OR VOTE WHERE A MEMBER / CO-OPTED MEMBER HAS A DISCLOSABLE PECUNIARY INTEREST

The City of London Corporation may, following a written request, grant a dispensation for a Member (including a Co-opted Member) to take part in any discussion and vote on a matter in which they have a disclosable pecuniary interest, in some circumstances. The granting of dispensations is a function of the Standards Committee and its Dispensations Sub-Committee (referred to collectively in this form as “the Standards Committee”). You are strongly advised to read the accompanying ‘Policy and guidance on the granting of dispensations’ (“the Policy”) issued by the Standards Committee before completing this form and particular paragraphs of that document are cross-referenced at appropriate points below.

The information you provide in this form will be considered by the Standards Committee in reaching a decision. **The onus is on you to justify your application and the omission of any relevant information may affect the outcome.** You are requested to complete this form electronically and email it to declarations@cityoflondon.gov.uk. Electronic applications will only be accepted from your official City of London Corporation email address. Alternatively, paper forms can be submitted to the Committee and Member Services Team in the Town Clerk’s Department, but typed forms should be provided if at all possible.

Name:

Date:

☒ I confirm that I am the person named above and that I have personally completed this form or reviewed its contents. The details provided in this form are true and accurate and all material facts have been disclosed.

If submitting a paper form, please also sign in the box below.

Signature:

Relevant disclosable pecuniary interests (Appendix 1 of the Policy)

Please describe the nature of the disclosable pecuniary interest(s) that would otherwise prohibit you from speaking and/or voting:

Lessee of 815 Frobisher Crescent, Barbican, London EC2Y 8HD

Details of dispensation sought (paragraphs 7-12 of the Policy)**A. Complete this section if you are applying for a dispensation from the Town Clerk under delegated authority (paragraph 17 of the Policy)**

I request a dispensation until the Ward elections in 2021 to enable me:

- ☐ to speak and vote on the setting of council tax
- ☐ to speak as a member of the public on planning applications
(I confirm that I am not a member of the Planning Committee)
- ☐ to speak as a member of the public on licensing applications
(I confirm that I am not a member of the Licensing Committee)
- ☐ where I have a lease or tenancy, corporate tenancy or licence to occupy a residential property from the City of London Corporation, to speak on general housing matters, so long as the item of business does not relate particularly to my own disclosable pecuniary interest
(This includes any lease or tenancy, corporate tenancy or licence belonging to a spouse, civil partner, or person with whom I am living as husband or wife, or as if we were civil partners)

B. Complete this section if you are applying for a dispensation from the Standards Committee (paragraphs (b), (c) and (j) in Appendix 3 of the Policy)

I request a dispensation to enable me to:

- ☐ speak as a member of the public
- ☒ speak as a Member
- ☒ vote

on the following matter(s):

All matters relating to the Barbican residential estate except any matter relating exclusively to Frobisher Crescent (and not generally to the whole estate).

for a specific committee meeting or meetings, or for a specific period, as follows:

For the period from the date hereof to April 2020

If your request is urgent or at short notice please explain why the application is being made now:

There is a meeting of the Barbican Residential Committee on 18 March 2019. The application is being made now as the revised dispensation policy only became before the Court on 7 March 2019.

Statutory grounds (paragraphs 13-16 of the Policy)

A dispensation may only be granted where one of the statutory grounds is met. The grounds that are relevant to the City of London Corporation are set out below. Please tick the statutory ground(s) that you consider to be met in this instance and then explain in more detail why you believe this to be the case in the relevant text box.

- ☒ **Without the dispensation the proportion of Members and Co-opted Members prohibited from participating in any particular business would be so great as to impede the transaction of the business**

The resident members are a significant proportion of the Barbican Residential Committee and need to be allowed to speak to properly inform the decisions to be taken.

- ☒ **Granting the dispensation is in the interests of persons living in the City**

The Barbican estate contains about half the City's residents. The views of residents are important to demonstrate the City takes proper account of the resident population as well as the business community.

- ☒ **It is otherwise appropriate to grant a dispensation**

The issues are important to Barbican residents as a whole, both long lessees and short term tenants.

Please note that if you are only applying for one or more of the dispensations that the Town Clerk is able to grant under delegated authority then you do not need to fill in or submit the remaining sections of this form. The remainder of this form only needs to be completed if you are applying for a dispensation to the Standards Committee.

Factors to be taken into consideration by the Standards Committee

In deciding whether or not to grant a dispensation under one or more of the specific statutory grounds, the Standards Committee will take into account the following list of factors, as well as any other relevant circumstances as appropriate. Please address as many of these factors as you can by providing the requested information where applicable in the relevant text box.

A. Maintaining public confidence (paragraph (a) in Appendix 3 of the Policy)

If you believe that granting a dispensation in this case would not risk damaging public confidence in the conduct of the City Corporation's business then please explain why in the box below.

The dispensations are to speak and vote. The issues potentially affect a large proportion of the City's residents. I do not have an interest in any of the matters to be discussed greater than any other Barbican resident. Not to grant the dispensations sought may risk damaging the public perception in the City Corporation by appearing to exclude, or silence, Barbican residents in matters that are important to them.

B. Applications to vote (paragraph (b) in Appendix 3 of the Policy)

Dispensations to vote will only be granted in exceptional circumstances. If you are seeking such a dispensation then please explain in the box below why such exceptional circumstances apply in this case.

I am not seeking a dispensation to vote.

C. Equivalent public rights (paragraph (c) in Appendix 3 of the Policy)

If you expect that members of the public will be allowed to speak at the meeting in question, whether under a statutory right or some other reasonable expectation, then please provide details in the box below. Where such speaking rights arise from the submission of a written representation please confirm whether you have submitted such a representation. Please also confirm whether you are willing to be treated as a member of the public when making oral representations on this matter.

This is not applicable to this application.

D. Expectation of ward representation (paragraph (d) in Appendix 3 of the Policy)

If you believe that there is a reasonable expectation that your ward should be directly represented in relation to this item of business then please explain why in the box below.

I am a member for one of the two wards that encompass the Barbican estate. There is a reasonable expectation that the ward should be represented on the items of business to be discussed at the meeting. Housing governance is an issue that is very important to Barbican residents and forms part of the character of the estate. The other issues have general implications for Barbican residents and the cost of taking enforcement action (if that is the decision) will fall on Barbican residents via the service charge.

E. Widely held interests (paragraph (e) in Appendix 3 of the Policy)

If you consider that you share the disclosable pecuniary interest in question with a significant proportion of the general public then please provide details in the box below.

The interest is widely held amongst Barbican residents.
My interest is the same (no greater and no less) than all other Barbican estate long lessees.

F. Directly impacted interests (paragraph (f) in Appendix 3 of the Policy)

If the item of business for which you are seeking a dispensation relates specifically to your disclosable pecuniary interest (e.g. your particular lease or tenancy) then please provide details in the box below. By contrast, if you consider that you have a disclosable pecuniary interest in this matter but that any potential advantage arising is minor or remote then please also provide details below.

The interest does not relate specifically to my DPI.
My interest is the same (no greater and no less) than all other Barbican estate long lessees.

G. Personal knowledge, etc. (paragraph (g) in Appendix 3 of the Policy)

If you consider that your particular knowledge, role or expertise would be of especial value to the decision making process and provide a perspective that would not otherwise be available then please provide details in the box below.

I have knowledge of the Barbican estate, and the views of residents that are not generally known to the non-resident (voting) members of the Committee, two of whom are new to the committee. I consider my knowledge will be helpful in informing the decisions that need to be taken (and the Chairman agrees).

H. Diversity and inclusion (paragraph (h) in Appendix 3 of the Policy)

If you have a particular viewpoint arising from a protected characteristic that might not otherwise be represented and might assist the debate in relation to the particular item of business in question then please provide details in the box below.

There are diversity issues in respect of concessions to disabled users of the car parks. Apart from this, because this is an application for a period, and not a specific meeting, I am not presently aware of any diversity issues in relation to the items of business which may be discussed but such issues may arise.

I. Manifesto promises (paragraph (i) in Appendix 3 of the Policy)

If you were elected on a public platform that you would specifically address the item or items of business for which the dispensation is sought then please provide details in the box below. If this appeared as a commitment in your election material then please quote the relevant text.

I was elected on a public platform that I would represent the interests of Ward residents and in particular Barbican residents. My electorate expect me to carry out my election pledges and speak up for their interests, especially at the Barbican Residential Committee.

J. Scope and duration (paragraph (j) in Appendix 3 of the Policy)

A focussed dispensation request that relates to a specific matter and is of short duration is more likely to be successful as this enables the Standards Committee to consider a particular set of circumstances. However there will be occasions when a more general dispensation for a longer term may be appropriate. If you are applying for such a dispensation then please explain your reasons in the box below.

In line with the policy approved by the Court on 7 March I am seeking a dispensation for a period rather than for each meeting; essentially the same considerations will arise for each meeting. I am seeking a dispensation to April 2020, the date of the 2020 Court meeting appointing committees, so save seeking a dispensation for the March 2019 BRC meeting and repeating a virtually identical application for the year to April 2020.

K. Previous dispensation decisions (paragraph (k) in Appendix 3 of the Policy)

Each application will be considered on its own merits. However for the sake of consistency the Standards Committee will have due regard to its own previous decisions. If there is a recent decision that you wish to draw to the attention of the Standards Committee then please provide details in the box below.

Dispensations were granted for the special BRC meeting on 31 January 2019. The Court has debated the dispensations policy which has been revised since earlier dispensation applications.

L. Other relevant considerations

If you consider that there are any other circumstances relating to your particular application that support your request for a dispensation on one or more of the statutory grounds or that should otherwise be disclosed to the Standards Committee then please provide details in the box below.

This application has the support of the Barbican Residential Committee Chairman.

Will you please notify me and the BRC Chairman when and where the application is to be decided so we may attend the meeting.

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REQUEST FOR A DISPENSATION TO SPEAK AND/OR VOTE WHERE A MEMBER / CO-OPTED MEMBER HAS A DISCLOSABLE PECUNIARY INTEREST

The City of London Corporation may, following a written request, grant a dispensation for a Member (including a Co-opted Member) to take part in any discussion and vote on a matter in which they have a disclosable pecuniary interest, in some circumstances. The granting of dispensations is a function of the Standards Committee and its Dispensations Sub-Committee (referred to collectively in this form as “the Standards Committee”). You are strongly advised to read the accompanying ‘Policy and guidance on the granting of dispensations’ (“the Policy”) issued by the Standards Committee before completing this form and particular paragraphs of that document are cross-referenced at appropriate points below.

The information you provide in this form will be considered by the Standards Committee in reaching a decision. **The onus is on you to justify your application and the omission of any relevant information may affect the outcome.** You are requested to complete this form electronically and email it to declarations@cityoflondon.gov.uk. Electronic applications will only be accepted from your official City of London Corporation email address. Alternatively, paper forms can be submitted to the Committee and Member Services Team in the Town Clerk’s Department, but typed forms should be provided if at all possible.

Name:

Date:

☐ I confirm that I am the person named above and that I have personally completed this form or reviewed its contents. The details provided in this form are true and accurate and all material facts have been disclosed.

If submitting a paper form, please also sign in the box below.

Signature:

Relevant disclosable pecuniary interests (Appendix 1 of the Policy)

Please describe the nature of the disclosable pecuniary interest(s) that would otherwise prohibit you from speaking and/or voting:

Lessee of 48 Andrewes House, Barbican, London EC2Y 8AX

Details of dispensation sought (paragraphs 7-12 of the Policy)**A. Complete this section if you are applying for a dispensation from the Town Clerk under delegated authority (paragraph 17 of the Policy)**

I request a dispensation until the Ward elections in 2021 to enable me:

- ☐ to speak and vote on the setting of council tax
- ☐ to speak as a member of the public on planning applications
(I confirm that I am not a member of the Planning Committee)
- ☐ to speak as a member of the public on licensing applications
(I confirm that I am not a member of the Licensing Committee)
- ☐ where I have a lease or tenancy, corporate tenancy or licence to occupy a residential property from the City of London Corporation, to speak on general housing matters, so long as the item of business does not relate particularly to my own disclosable pecuniary interest
(This includes any lease or tenancy, corporate tenancy or licence belonging to a spouse, civil partner, or person with whom I am living as husband or wife, or as if we were civil partners)

B. Complete this section if you are applying for a dispensation from the Standards Committee (paragraphs (b), (c) and (j) in Appendix 3 of the Policy)

I request a dispensation to enable me to:

- ☐ speak as a member of the public
- ☒ speak as a Member
- ☐ vote

on the following matter(s):

All matters relating to the Barbican residential estate except any matter relating exclusively to Flats 8 to 95 (inclusive) Andrewes House (and not generally to the whole estate).

for a specific committee meeting or meetings, or for a specific period, as follows:

For the period from the date hereof to April 2020

If your request is urgent or at short notice please explain why the application is being made now:

There is a meeting of the Barbican Residential Committee on 18 March 2019. The application is being made now as the revised dispensation policy only became before the Court on 7 March 2019.

Statutory grounds (paragraphs 13-16 of the Policy)

A dispensation may only be granted where one of the statutory grounds is met. The grounds that are relevant to the City of London Corporation are set out below. Please tick the statutory ground(s) that you consider to be met in this instance and then explain in more detail why you believe this to be the case in the relevant text box.

- ☒ **Without the dispensation the proportion of Members and Co-opted Members prohibited from participating in any particular business would be so great as to impede the transaction of the business**

The resident members are a significant proportion of the Barbican Residential Committee and need to be allowed to speak to properly inform the decisions to be taken.

- ☒ **Granting the dispensation is in the interests of persons living in the City**

The Barbican estate contains about half the City's residents. The views of residents are important to demonstrate the City takes proper account of the resident population as well as the business community.

- ☒ **It is otherwise appropriate to grant a dispensation**

The issues are important to Barbican residents as a whole, both long lessees and short term tenants.

Please note that if you are only applying for one or more of the dispensations that the Town Clerk is able to grant under delegated authority then you do not need to fill in or submit the remaining sections of this form. The remainder of this form only needs to be completed if you are applying for a dispensation to the Standards Committee.

Factors to be taken into consideration by the Standards Committee

In deciding whether or not to grant a dispensation under one or more of the specific statutory grounds, the Standards Committee will take into account the following list of factors, as well as any other relevant circumstances as appropriate. Please address as many of these factors as you can by providing the requested information where applicable in the relevant text box.

A. Maintaining public confidence (paragraph (a) in Appendix 3 of the Policy)

If you believe that granting a dispensation in this case would not risk damaging public confidence in the conduct of the City Corporation's business then please explain why in the box below.

The dispensations are to speak only. The issues potentially affect a large proportion of the City's residents. I do not have an interest in any of the matters to be discussed greater than any other Barbican resident. Not to grant the dispensations sought may risk damaging the public perception in the City Corporation by appearing to exclude, or silence, Barbican residents in matters that are important to them.

B. Applications to vote (paragraph (b) in Appendix 3 of the Policy)

Dispensations to vote will only be granted in exceptional circumstances. If you are seeking such a dispensation then please explain in the box below why such exceptional circumstances apply in this case.

I am not seeking a dispensation to vote.

C. Equivalent public rights (paragraph (c) in Appendix 3 of the Policy)

If you expect that members of the public will be allowed to speak at the meeting in question, whether under a statutory right or some other reasonable expectation, then please provide details in the box below. Where such speaking rights arise from the submission of a written representation please confirm whether you have submitted such a representation. Please also confirm whether you are willing to be treated as a member of the public when making oral representations on this matter.

This is not applicable to this application.

D. Expectation of ward representation (paragraph (d) in Appendix 3 of the Policy)

If you believe that there is a reasonable expectation that your ward should be directly represented in relation to this item of business then please explain why in the box below.

I am a member for one of the two wards that encompass the Barbican estate. There is a reasonable expectation that the ward should be represented on the items of business to be discussed at the meeting. Housing governance is an issue that is very important to Barbican residents and forms part of the character of the estate. The other issues have general implications for Barbican residents and the cost of taking enforcement action (if that is the decision) will fall on Barbican residents via the service charge.

E. Widely held interests (paragraph (e) in Appendix 3 of the Policy)

If you consider that you share the disclosable pecuniary interest in question with a significant proportion of the general public then please provide details in the box below.

The interest is widely held amongst Barbican residents.
My interest is the same (no greater and no less) than all other Barbican estate long lessees.

F. Directly impacted interests (paragraph (f) in Appendix 3 of the Policy)

If the item of business for which you are seeking a dispensation relates specifically to your disclosable pecuniary interest (e.g. your particular lease or tenancy) then please provide details in the box below. By contrast, if you consider that you have a disclosable pecuniary interest in this matter but that any potential advantage arising is minor or remote then please also provide details below.

The interest does not relate specifically to my DPI.
My interest is the same (no greater and no less) than all other Barbican estate long lessees.

G. Personal knowledge, etc. (paragraph (g) in Appendix 3 of the Policy)

If you consider that your particular knowledge, role or expertise would be of especial value to the decision making process and provide a perspective that would not otherwise be available then please provide details in the box below.

I have knowledge of the Barbican estate, and the views of residents that are not generally known to the non-resident (voting) members of the Committee, two of whom are new to the committee. I consider my knowledge will be helpful in informing the decisions that need to be taken (and the Chairman agrees).

H. Diversity and inclusion (paragraph (h) in Appendix 3 of the Policy)

If you have a particular viewpoint arising from a protected characteristic that might not otherwise be represented and might assist the debate in relation to the particular item of business in question then please provide details in the box below.

There are diversity issues in respect of concessions to disabled users of the car parks. Apart from this, because this is an application for a period, and not a specific meeting, I am not presently aware of any diversity issues in relation to the items of business which may be discussed but such issues may arise.

I. Manifesto promises (paragraph (i) in Appendix 3 of the Policy)

If you were elected on a public platform that you would specifically address the item or items of business for which the dispensation is sought then please provide details in the box below. If this appeared as a commitment in your election material then please quote the relevant text.

I was elected on a public platform that I would represent the interests of Ward residents and in particular Barbican residents. My electorate expect me to carry out my election pledges and speak up for their interests, especially at the Barbican Residential Committee.

J. Scope and duration (paragraph (j) in Appendix 3 of the Policy)

A focussed dispensation request that relates to a specific matter and is of short duration is more likely to be successful as this enables the Standards Committee to consider a particular set of circumstances. However there will be occasions when a more general dispensation for a longer term may be appropriate. If you are applying for such a dispensation then please explain your reasons in the box below.

In line with the policy approved by the Court on 7 March I am seeking a dispensation for a period rather than for each meeting; essentially the same considerations will arise for each meeting. I am seeking a dispensation to April 2020, the date of the 2020 Court meeting appointing committees, so save seeking a dispensation for the March 2019 BRC meeting and repeating a virtually identical application for the year to April 2020.

K. Previous dispensation decisions (paragraph (k) in Appendix 3 of the Policy)

Each application will be considered on its own merits. However for the sake of consistency the Standards Committee will have due regard to its own previous decisions. If there is a recent decision that you wish to draw to the attention of the Standards Committee then please provide details in the box below.

Dispensations were granted for the special BRC meeting on 31 January 2019. The Court has debated the dispensations policy which has been revised since earlier dispensation applications.

L. Other relevant considerations

If you consider that there are any other circumstances relating to your particular application that support your request for a dispensation on one or more of the statutory grounds or that should otherwise be disclosed to the Standards Committee then please provide details in the box below.

This application has the support of the Barbican Residential Committee Chairman.

Andrewes House has a number of separate staircases. I live in Flat 48 and the staircase which serves this flat serves flats 42 to 59. The two staircases to the west serves flats 8 to 23 and 24 to 41 and the two staircases to the east serve flats 60 to 77 and 78 to 95. Given the large size of Andrewes House I consider that beyond those flats there are no matters which might affect my flat that do not affect all the properties on the Barbican estate.

Will you please notify me and the BRC Chairman when and where the application is to be decided so we may attend the meeting.

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REQUEST FOR A DISPENSATION TO SPEAK AND/OR VOTE WHERE A MEMBER / CO-OPTED MEMBER HAS A DISCLOSABLE PECUNIARY INTEREST

The City of London Corporation may, following a written request, grant a dispensation for a Member (including a Co-opted Member) to take part in any discussion and vote on a matter in which they have a disclosable pecuniary interest, in some circumstances. The granting of dispensations is a function of the Standards Committee and its Dispensations Sub-Committee (referred to collectively in this form as “the Standards Committee”). You are strongly advised to read the accompanying ‘Policy and guidance on the granting of dispensations’ (“the Policy”) issued by the Standards Committee before completing this form and particular paragraphs of that document are cross-referenced at appropriate points below.

The information you provide in this form will be considered by the Standards Committee in reaching a decision. **The onus is on you to justify your application and the omission of any relevant information may affect the outcome.** You are requested to complete this form electronically and email it to declarations@cityoflondon.gov.uk. Electronic applications will only be accepted from your official City of London Corporation email address. Alternatively, paper forms can be submitted to the Committee and Member Services Team in the Town Clerk’s Department, but typed forms should be provided if at all possible.

Name:

Date:

☐ I confirm that I am the person named above and that I have personally completed this form or reviewed its contents. The details provided in this form are true and accurate and all material facts have been disclosed.

If submitting a paper form, please also sign in the box below.

Signature:

Relevant disclosable pecuniary interests (Appendix 1 of the Policy)

Please describe the nature of the disclosable pecuniary interest(s) that would otherwise prohibit you from speaking and/or voting:

Lessee of 507 Mountjoy House, Barbican, London EC2Y 8BP

Details of dispensation sought (paragraphs 7-12 of the Policy)**A. Complete this section if you are applying for a dispensation from the Town Clerk under delegated authority (paragraph 17 of the Policy)**

I request a dispensation until the Ward elections in 2021 to enable me:

- ☐ to speak and vote on the setting of council tax
- ☐ to speak as a member of the public on planning applications
(I confirm that I am not a member of the Planning Committee)
- ☐ to speak as a member of the public on licensing applications
(I confirm that I am not a member of the Licensing Committee)
- ☐ where I have a lease or tenancy, corporate tenancy or licence to occupy a residential property from the City of London Corporation, to speak on general housing matters, so long as the item of business does not relate particularly to my own disclosable pecuniary interest
(This includes any lease or tenancy, corporate tenancy or licence belonging to a spouse, civil partner, or person with whom I am living as husband or wife, or as if we were civil partners)

B. Complete this section if you are applying for a dispensation from the Standards Committee (paragraphs (b), (c) and (j) in Appendix 3 of the Policy)

I request a dispensation to enable me to:

- ☐ speak as a member of the public
- ☒ speak as a Member
- ☐ vote

on the following matter(s):

All matters relating to the Barbican residential estate except any matter relating exclusively to Mountjoy House (and not generally to the whole estate).

for a specific committee meeting or meetings, or for a specific period, as follows:

For the period from the date hereof to April 2020

The application is being made now as the revised dispensation policy only became before the Court on 7 March 2019.

If your request is urgent or at short notice please explain why the application is being made now:

Statutory grounds (paragraphs 13-16 of the Policy)

A dispensation may only be granted where one of the statutory grounds is met. The grounds that are relevant to the City of London Corporation are set out below. Please tick the statutory ground(s) that you consider to be met in this instance and then explain in more detail why you believe this to be the case in the relevant text box.

- ☒ **Without the dispensation the proportion of Members and Co-opted Members prohibited from participating in any particular business would be so great as to impede the transaction of the business**

The resident members are a significant proportion of the Barbican Residential Committee and need to be allowed to speak to properly inform the decisions to be taken.

- ☒ **Granting the dispensation is in the interests of persons living in the City**

The Barbican estate contains about half the City's residents. The views of residents are important to demonstrate the City takes proper account of the resident population as well as the business community.

- ☒ **It is otherwise appropriate to grant a dispensation**

The issues are important to Barbican residents as a whole, both long lessees and short term tenants.

Please note that if you are only applying for one or more of the dispensations that the Town Clerk is able to grant under delegated authority then you do not need to fill in or submit the remaining sections of this form. The remainder of this form only needs to be completed if you are applying for a dispensation to the Standards Committee.

Factors to be taken into consideration by the Standards Committee

In deciding whether or not to grant a dispensation under one or more of the specific statutory grounds, the Standards Committee will take into account the following list of factors, as well as any other relevant circumstances as appropriate. Please address as many of these factors as you can by providing the requested information where applicable in the relevant text box.

A. Maintaining public confidence (paragraph (a) in Appendix 3 of the Policy)

If you believe that granting a dispensation in this case would not risk damaging public confidence in the conduct of the City Corporation's business then please explain why in the box below.

The dispensations are to speak only. The issues potentially affect a large proportion of the City's residents. I do not have an interest in any of the matters to be discussed greater than any other Barbican resident. Not to grant the dispensations sought may risk damaging the public perception in the City Corporation by appearing to exclude, or silence, Barbican residents in matters that are important to them.

B. Applications to vote (paragraph (b) in Appendix 3 of the Policy)

Dispensations to vote will only be granted in exceptional circumstances. If you are seeking such a dispensation then please explain in the box below why such exceptional circumstances apply in this case.

I am not seeking a dispensation to vote.

C. Equivalent public rights (paragraph (c) in Appendix 3 of the Policy)

If you expect that members of the public will be allowed to speak at the meeting in question, whether under a statutory right or some other reasonable expectation, then please provide details in the box below. Where such speaking rights arise from the submission of a written representation please confirm whether you have submitted such a representation. Please also confirm whether you are willing to be treated as a member of the public when making oral representations on this matter.

This is not applicable to this application.

D. Expectation of ward representation (paragraph (d) in Appendix 3 of the Policy)

If you believe that there is a reasonable expectation that your ward should be directly represented in relation to this item of business then please explain why in the box below.

I am a member for one of the two wards that encompass the Barbican estate. There is a reasonable expectation that the ward should be represented on the items of business to be discussed at the meeting. Housing governance is an issue that is very important to Barbican residents and forms part of the character of the estate. The other issues have general implications for Barbican residents and the cost of taking enforcement action (if that is the decision) will fall on Barbican residents via the service charge.

E. Widely held interests (paragraph (e) in Appendix 3 of the Policy)

If you consider that you share the disclosable pecuniary interest in question with a significant proportion of the general public then please provide details in the box below.

The interest is widely held amongst Barbican residents.
My interest is the same (no greater and no less) than all other Barbican estate long lessees.

F. Directly impacted interests (paragraph (f) in Appendix 3 of the Policy)

If the item of business for which you are seeking a dispensation relates specifically to your disclosable pecuniary interest (e.g. your particular lease or tenancy) then please provide details in the box below. By contrast, if you consider that you have a disclosable pecuniary interest in this matter but that any potential advantage arising is minor or remote then please also provide details below.

The interest does not relate specifically to my DPI.
My interest is the same (no greater and no less) than all other Barbican estate long lessees.

G. Personal knowledge, etc. (paragraph (g) in Appendix 3 of the Policy)

If you consider that your particular knowledge, role or expertise would be of especial value to the decision making process and provide a perspective that would not otherwise be available then please provide details in the box below.

I have knowledge of the Barbican estate, and the views of residents that are not generally known to the non-resident (voting) members of the Committee, two of whom are new to the committee. I consider my knowledge will be helpful in informing the decisions that need to be taken (and the Chairman agrees).

H. Diversity and inclusion (paragraph (h) in Appendix 3 of the Policy)

If you have a particular viewpoint arising from a protected characteristic that might not otherwise be represented and might assist the debate in relation to the particular item of business in question then please provide details in the box below.

There are diversity issues in respect of concessions to disabled users of the car parks. Apart from this, because this is an application for a period, and not a specific meeting, I am not presently aware of any diversity issues in relation to the items of business which may be discussed but such issues may arise.

I. Manifesto promises (paragraph (i) in Appendix 3 of the Policy)

If you were elected on a public platform that you would specifically address the item or items of business for which the dispensation is sought then please provide details in the box below. If this appeared as a commitment in your election material then please quote the relevant text.

I was elected on a public platform that I would represent the interests of Ward residents and in particular Barbican residents. My electorate expect me to carry out my election pledges and speak up for their interests, especially at the Barbican Residential Committee.

J. Scope and duration (paragraph (j) in Appendix 3 of the Policy)

A focussed dispensation request that relates to a specific matter and is of short duration is more likely to be successful as this enables the Standards Committee to consider a particular set of circumstances. However there will be occasions when a more general dispensation for a longer term may be appropriate. If you are applying for such a dispensation then please explain your reasons in the box below.

In line with the policy approved by the Court on 7 March I am seeking a dispensation for a period rather than for each meeting; essentially the same considerations will arise for each meeting. I am seeking a dispensation to April 2020, the date of the 2020 Court meeting appointing committees, so save seeking a dispensation for the March 2019 BRC meeting and repeating a virtually identical application for the year to April 2020.

K. Previous dispensation decisions (paragraph (k) in Appendix 3 of the Policy)

Each application will be considered on its own merits. However for the sake of consistency the Standards Committee will have due regard to its own previous decisions. If there is a recent decision that you wish to draw to the attention of the Standards Committee then please provide details in the box below.

Dispensations were granted for the special BRC meeting on 31 January 2019. The Court has debated the dispensations policy which has been revised since earlier dispensation applications.

L. Other relevant considerations

If you consider that there are any other circumstances relating to your particular application that support your request for a dispensation on one or more of the statutory grounds or that should otherwise be disclosed to the Standards Committee then please provide details in the box below.

This application has the support of the Barbican Residential Committee Chairman.

Will you please notify me and the BRC Chairman when and where the application is to be decided so we may attend the meeting.

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REQUEST FOR A DISPENSATION TO SPEAK AND/OR VOTE WHERE A MEMBER / CO-OPTED MEMBER HAS A DISCLOSABLE PECUNIARY INTEREST

The City of London Corporation may, following a written request, grant a dispensation for a Member (including a Co-opted Member) to take part in any discussion and vote on a matter in which they have a disclosable pecuniary interest, in some circumstances. The granting of dispensations is a function of the Standards Committee and its Dispensations Sub-Committee (referred to collectively in this form as “the Standards Committee”). You are strongly advised to read the accompanying ‘Policy and guidance on the granting of dispensations’ (“the Policy”) issued by the Standards Committee before completing this form and particular paragraphs of that document are cross-referenced at appropriate points below.

The information you provide in this form will be considered by the Standards Committee in reaching a decision. **The onus is on you to justify your application and the omission of any relevant information may affect the outcome.** You are requested to complete this form electronically and email it to declarations@cityoflondon.gov.uk. Electronic applications will only be accepted from your official City of London Corporation email address. Alternatively, paper forms can be submitted to the Committee and Member Services Team in the Town Clerk’s Department, but typed forms should be provided if at all possible.

Name:

Date:

☒ I confirm that I am the person named above and that I have personally completed this form or reviewed its contents. The details provided in this form are true and accurate and all material facts have been disclosed.

If submitting a paper form, please also sign in the box below.

Signature:

Relevant disclosable pecuniary interests (Appendix 1 of the Policy)

Please describe the nature of the disclosable pecuniary interest(s) that would otherwise prohibit you from speaking and/or voting:

Lessee of 143 Cromwell Tower, Barbican, London EC2Y 8DD

Details of dispensation sought (paragraphs 7-12 of the Policy)**A. Complete this section if you are applying for a dispensation from the Town Clerk under delegated authority (paragraph 17 of the Policy)**

I request a dispensation until the Ward elections in 2021 to enable me:

- ☐ to speak and vote on the setting of council tax
- ☐ to speak as a member of the public on planning applications
(I confirm that I am not a member of the Planning Committee)
- ☐ to speak as a member of the public on licensing applications
(I confirm that I am not a member of the Licensing Committee)
- ☐ where I have a lease or tenancy, corporate tenancy or licence to occupy a residential property from the City of London Corporation, to speak on general housing matters, so long as the item of business does not relate particularly to my own disclosable pecuniary interest
(This includes any lease or tenancy, corporate tenancy or licence belonging to a spouse, civil partner, or person with whom I am living as husband or wife, or as if we were civil partners)

B. Complete this section if you are applying for a dispensation from the Standards Committee (paragraphs (b), (c) and (j) in Appendix 3 of the Policy)

I request a dispensation to enable me to:

- ☐ speak as a member of the public
- ☒ speak as a Member
- ☐ vote

on the following matter(s):

All matters relating to the Barbican residential estate except any matter relating exclusively to Cromwell Tower (and not generally to the whole estate).

for a specific committee meeting or meetings, or for a specific period, as follows:

For the period from the date hereof to April 2020

If your request is urgent or at short notice please explain why the application is being made now:

There is a meeting of the Barbican Residential Committee on 18 March 2019. The application is being made now as the revised dispensation policy only became before the Court on 7 March 2019.

Statutory grounds (paragraphs 13-16 of the Policy)

A dispensation may only be granted where one of the statutory grounds is met. The grounds that are relevant to the City of London Corporation are set out below. Please tick the statutory ground(s) that you consider to be met in this instance and then explain in more detail why you believe this to be the case in the relevant text box.

- ☒ **Without the dispensation the proportion of Members and Co-opted Members prohibited from participating in any particular business would be so great as to impede the transaction of the business**

The resident members are a significant proportion of the Barbican Residential Committee and need to be allowed to speak to properly inform the decisions to be taken.

- ☒ **Granting the dispensation is in the interests of persons living in the City**

The Barbican estate contains about half the City's residents. The views of residents are important to demonstrate the City takes proper account of the resident population as well as the business community.

- ☒ **It is otherwise appropriate to grant a dispensation**

The issues are important to Barbican residents as a whole, both long lessees and short term tenants.

Please note that if you are only applying for one or more of the dispensations that the Town Clerk is able to grant under delegated authority then you do not need to fill in or submit the remaining sections of this form. The remainder of this form only needs to be completed if you are applying for a dispensation to the Standards Committee.

Factors to be taken into consideration by the Standards Committee

In deciding whether or not to grant a dispensation under one or more of the specific statutory grounds, the Standards Committee will take into account the following list of factors, as well as any other relevant circumstances as appropriate. Please address as many of these factors as you can by providing the requested information where applicable in the relevant text box.

A. Maintaining public confidence (paragraph (a) in Appendix 3 of the Policy)

If you believe that granting a dispensation in this case would not risk damaging public confidence in the conduct of the City Corporation's business then please explain why in the box below.

The dispensations are to speak only. The issues potentially affect a large proportion of the City's residents. I do not have an interest in any of the matters to be discussed greater than any other Barbican resident. Not to grant the dispensations sought may risk damaging the public perception in the City Corporation by appearing to exclude, or silence, Barbican residents in matters that are important to them.

B. Applications to vote (paragraph (b) in Appendix 3 of the Policy)

Dispensations to vote will only be granted in exceptional circumstances. If you are seeking such a dispensation then please explain in the box below why such exceptional circumstances apply in this case.

I am not seeking a dispensation to vote.

C. Equivalent public rights (paragraph (c) in Appendix 3 of the Policy)

If you expect that members of the public will be allowed to speak at the meeting in question, whether under a statutory right or some other reasonable expectation, then please provide details in the box below. Where such speaking rights arise from the submission of a written representation please confirm whether you have submitted such a representation. Please also confirm whether you are willing to be treated as a member of the public when making oral representations on this matter.

This is not applicable to this application.

D. Expectation of ward representation (paragraph (d) in Appendix 3 of the Policy)

If you believe that there is a reasonable expectation that your ward should be directly represented in relation to this item of business then please explain why in the box below.

I am a member for one of the two wards that encompass the Barbican estate. There is a reasonable expectation that the ward should be represented on the items of business to be discussed at the meeting. Housing governance is an issue that is very important to Barbican residents and forms part of the character of the estate. The other issues have general implications for Barbican residents and the cost of taking enforcement action (if that is the decision) will fall on Barbican residents via the service charge.

E. Widely held interests (paragraph (e) in Appendix 3 of the Policy)

If you consider that you share the disclosable pecuniary interest in question with a significant proportion of the general public then please provide details in the box below.

The interest is widely held amongst Barbican residents.
My interest is the same (no greater and no less) than all other Barbican estate long lessees.

F. Directly impacted interests (paragraph (f) in Appendix 3 of the Policy)

If the item of business for which you are seeking a dispensation relates specifically to your disclosable pecuniary interest (e.g. your particular lease or tenancy) then please provide details in the box below. By contrast, if you consider that you have a disclosable pecuniary interest in this matter but that any potential advantage arising is minor or remote then please also provide details below.

The interest does not relate specifically to my DPI.
My interest is the same (no greater and no less) than all other Barbican estate long lessees.

G. Personal knowledge, etc. (paragraph (g) in Appendix 3 of the Policy)

If you consider that your particular knowledge, role or expertise would be of especial value to the decision making process and provide a perspective that would not otherwise be available then please provide details in the box below.

I have knowledge of the Barbican estate, and the views of residents that are not generally known to the non-resident (voting) members of the Committee, two of whom are new to the committee. I consider my knowledge will be helpful in informing the decisions that need to be taken (and the Chairman agrees).

H. Diversity and inclusion (paragraph (h) in Appendix 3 of the Policy)

If you have a particular viewpoint arising from a protected characteristic that might not otherwise be represented and might assist the debate in relation to the particular item of business in question then please provide details in the box below.

There are diversity issues in respect of concessions to disabled users of the car parks. Apart from this, because this is an application for a period, and not a specific meeting, I am not presently aware of any diversity issues in relation to the items of business which may be discussed but such issues may arise.

I. Manifesto promises (paragraph (i) in Appendix 3 of the Policy)

If you were elected on a public platform that you would specifically address the item or items of business for which the dispensation is sought then please provide details in the box below. If this appeared as a commitment in your election material then please quote the relevant text.

I was elected on a public platform that I would represent the interests of Ward residents and in particular Barbican residents. My electorate expect me to carry out my election pledges and speak up for their interests, especially at the Barbican Residential Committee.

J. Scope and duration (paragraph (j) in Appendix 3 of the Policy)

A focussed dispensation request that relates to a specific matter and is of short duration is more likely to be successful as this enables the Standards Committee to consider a particular set of circumstances. However there will be occasions when a more general dispensation for a longer term may be appropriate. If you are applying for such a dispensation then please explain your reasons in the box below.

In line with the policy approved by the Court on 7 March I am seeking a dispensation for a period rather than for each meeting; essentially the same considerations will arise for each meeting. I am seeking a dispensation to April 2020, the date of the 2020 Court meeting appointing committees, so save seeking a dispensation for the March 2019 BRC meeting and repeating a virtually identical application for the year to April 2020.

K. Previous dispensation decisions (paragraph (k) in Appendix 3 of the Policy)

Each application will be considered on its own merits. However for the sake of consistency the Standards Committee will have due regard to its own previous decisions. If there is a recent decision that you wish to draw to the attention of the Standards Committee then please provide details in the box below.

Dispensations were granted for the special BRC meeting on 31 January 2019. The Court has debated the dispensations policy which has been revised since earlier dispensation applications.

L. Other relevant considerations

If you consider that there are any other circumstances relating to your particular application that support your request for a dispensation on one or more of the statutory grounds or that should otherwise be disclosed to the Standards Committee then please provide details in the box below.

This application has the support of the Barbican Residential Committee Chairman.

Will you please notify me and the BRC Chairman when and where the application is to be decided so we may attend the meeting.

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REQUEST FOR A DISPENSATION TO SPEAK AND/OR VOTE WHERE A MEMBER / CO-OPTED MEMBER HAS A DISCLOSABLE PECUNIARY INTEREST

The City of London Corporation may, following a written request, grant a dispensation for a Member (including a Co-opted Member) to take part in any discussion and vote on a matter in which they have a disclosable pecuniary interest, in some circumstances. The granting of dispensations is a function of the Standards Committee and its Dispensations Sub-Committee (referred to collectively in this form as “the Standards Committee”). You are strongly advised to read the accompanying ‘Policy and guidance on the granting of dispensations’ (“the Policy”) issued by the Standards Committee before completing this form and particular paragraphs of that document are cross-referenced at appropriate points below.

The information you provide in this form will be considered by the Standards Committee in reaching a decision. **The onus is on you to justify your application and the omission of any relevant information may affect the outcome.** You are requested to complete this form electronically and email it to declarations@cityoflondon.gov.uk. Electronic applications will only be accepted from your official City of London Corporation email address. Alternatively, paper forms can be submitted to the Committee and Member Services Team in the Town Clerk’s Department, but typed forms should be provided if at all possible.

Name:

Date:

☐ I confirm that I am the person named above and that I have personally completed this form or reviewed its contents. The details provided in this form are true and accurate and all material facts have been disclosed.

If submitting a paper form, please also sign in the box below.

Signature:

Relevant disclosable pecuniary interests (Appendix 1 of the Policy)

Please describe the nature of the disclosable pecuniary interest(s) that would otherwise prohibit you from speaking and/or voting:

Tenancy of 42 Breton House, Barbican, London EC2Y 8DQ

Details of dispensation sought (paragraphs 7-12 of the Policy)**A. Complete this section if you are applying for a dispensation from the Town Clerk under delegated authority (paragraph 17 of the Policy)**

I request a dispensation until the Ward elections in 2021 to enable me:

- ☐ to speak and vote on the setting of council tax
- ☐ to speak as a member of the public on planning applications
(I confirm that I am not a member of the Planning Committee)
- ☐ to speak as a member of the public on licensing applications
(I confirm that I am not a member of the Licensing Committee)
- ☐ where I have a lease or tenancy, corporate tenancy or licence to occupy a residential property from the City of London Corporation, to speak on general housing matters, so long as the item of business does not relate particularly to my own disclosable pecuniary interest
(This includes any lease or tenancy, corporate tenancy or licence belonging to a spouse, civil partner, or person with whom I am living as husband or wife, or as if we were civil partners)

B. Complete this section if you are applying for a dispensation from the Standards Committee (paragraphs (b), (c) and (j) in Appendix 3 of the Policy)

I request a dispensation to enable me to:

- ☐ speak as a member of the public
- ☒ speak as a Member
- ☐ vote

on the following matter(s):

All matters relating to the Barbican residential estate except any matter relating exclusively to Breton House (and not generally to the whole estate).

for a specific committee meeting or meetings, or for a specific period, as follows:

For the period from the date hereof to April 2020

The application is being made now as the revised dispensation policy only became before the Court on 7 March 2019.

If your request is urgent or at short notice please explain why the application is being made now:

Statutory grounds (paragraphs 13-16 of the Policy)

A dispensation may only be granted where one of the statutory grounds is met. The grounds that are relevant to the City of London Corporation are set out below. Please tick the statutory ground(s) that you consider to be met in this instance and then explain in more detail why you believe this to be the case in the relevant text box.

- ☒ **Without the dispensation the proportion of Members and Co-opted Members prohibited from participating in any particular business would be so great as to impede the transaction of the business**

The resident members are a significant proportion of the Barbican Residential Committee and need to be allowed to speak to properly inform the decisions to be taken.

- ☒ **Granting the dispensation is in the interests of persons living in the City**

The Barbican estate contains about half the City's residents. The views of residents are important to demonstrate the City takes proper account of the resident population as well as the business community.

- ☒ **It is otherwise appropriate to grant a dispensation**

The issues are important to Barbican residents as a whole, both long lessees and short term tenants.

Please note that if you are only applying for one or more of the dispensations that the Town Clerk is able to grant under delegated authority then you do not need to fill in or submit the remaining sections of this form. The remainder of this form only needs to be completed if you are applying for a dispensation to the Standards Committee.

Factors to be taken into consideration by the Standards Committee

In deciding whether or not to grant a dispensation under one or more of the specific statutory grounds, the Standards Committee will take into account the following list of factors, as well as any other relevant circumstances as appropriate. Please address as many of these factors as you can by providing the requested information where applicable in the relevant text box.

A. Maintaining public confidence (paragraph (a) in Appendix 3 of the Policy)

If you believe that granting a dispensation in this case would not risk damaging public confidence in the conduct of the City Corporation's business then please explain why in the box below.

The dispensations are to speak only. The issues potentially affect a large proportion of the City's residents. I do not have an interest in any of the matters to be discussed greater than any other Barbican resident. Not to grant the dispensations sought may risk damaging the public perception in the City Corporation by appearing to exclude, or silence, Barbican residents in matters that are important to them.

B. Applications to vote (paragraph (b) in Appendix 3 of the Policy)

Dispensations to vote will only be granted in exceptional circumstances. If you are seeking such a dispensation then please explain in the box below why such exceptional circumstances apply in this case.

I am not seeking a dispensation to vote.

C. Equivalent public rights (paragraph (c) in Appendix 3 of the Policy)

If you expect that members of the public will be allowed to speak at the meeting in question, whether under a statutory right or some other reasonable expectation, then please provide details in the box below. Where such speaking rights arise from the submission of a written representation please confirm whether you have submitted such a representation. Please also confirm whether you are willing to be treated as a member of the public when making oral representations on this matter.

This is not applicable to this application.

D. Expectation of ward representation (paragraph (d) in Appendix 3 of the Policy)

If you believe that there is a reasonable expectation that your ward should be directly represented in relation to this item of business then please explain why in the box below.

I am a member for one of the two wards that encompass the Barbican estate. There is a reasonable expectation that the ward should be represented on the items of business to be discussed at the meeting. Indeed, the structure of the Committee is based upon the two wards being represented. Housing governance is an issue that is very important to Barbican residents and forms part of the character of the estate. The other issues have general implications for Barbican residents and the cost of taking enforcement action (if that is the decision) will fall on Barbican residents via the service charge. However, as a direct tenant, rather than a long lessee, I have no financial interest in the service charge.

E. Widely held interests (paragraph (e) in Appendix 3 of the Policy)

If you consider that you share the disclosable pecuniary interest in question with a significant proportion of the general public then please provide details in the box below.

The interest is widely held amongst Barbican residents.
 My interest is the same (no greater and no less) than all other residents of the Barbican estate.
 My interest is less than those who hold long leases as I am a short term tenant and I pay no separate service charge. The rent is set by determining market rate comparables and is not in any way based upon the level of the service charge.

F. Directly impacted interests (paragraph (f) in Appendix 3 of the Policy)

If the item of business for which you are seeking a dispensation relates specifically to your disclosable pecuniary interest (e.g. your particular lease or tenancy) then please provide details in the box below. By contrast, if you consider that you have a disclosable pecuniary interest in this matter but that any potential advantage arising is minor or remote then please also provide details below.

The interest does not relate specifically to my DPI.
 My interest is the same (no greater and no less) than all other Barbican estate residents.
 My interest is less than those who hold long leases as I am a short term tenant and I pay no separate service charge.

G. Personal knowledge, etc. (paragraph (g) in Appendix 3 of the Policy)

If you consider that your particular knowledge, role or expertise would be of especial value to the decision making process and provide a perspective that would not otherwise be available then please provide details in the box below.

I have knowledge of the Barbican estate, and the views of residents that are not generally known to the non-resident (voting) members of the Committee, two of whom are new to the committee. I consider my knowledge will be helpful in informing the decisions that need to be taken (and the Chairman agrees).

H. Diversity and inclusion (paragraph (h) in Appendix 3 of the Policy)

If you have a particular viewpoint arising from a protected characteristic that might not otherwise be represented and might assist the debate in relation to the particular item of business in question then please provide details in the box below.

There are diversity issues in respect of concessions to disabled users of the car parks. Apart from this, because this is an application for a period, and not a specific meeting, I am not presently aware of any diversity issues in relation to the items of business which may be discussed but such issues may arise.

I. Manifesto promises (paragraph (i) in Appendix 3 of the Policy)

If you were elected on a public platform that you would specifically address the item or items of business for which the dispensation is sought then please provide details in the box below. If this appeared as a commitment in your election material then please quote the relevant text.

I was elected on a public platform that I would represent the interests of Ward residents and in particular Barbican residents. My electorate expect me to carry out my election pledges and speak up for their interests, especially at the Barbican Residential Committee.

J. Scope and duration (paragraph (j) in Appendix 3 of the Policy)

A focussed dispensation request that relates to a specific matter and is of short duration is more likely to be successful as this enables the Standards Committee to consider a particular set of circumstances. However there will be occasions when a more general dispensation for a longer term may be appropriate. If you are applying for such a dispensation then please explain your reasons in the box below.

In line with the policy approved by the Court on 7 March I am seeking a dispensation for a period rather than for each meeting; essentially the same considerations will arise for each meeting. I am seeking a dispensation to April 2020, the date of the 2020 Court meeting appointing committees, to avoid seeking a dispensation for the March 2019 BRC meeting and repeating a virtually identical application for the year to April 2020, wasting the time of the dispensation sub-committee.

K. Previous dispensation decisions (paragraph (k) in Appendix 3 of the Policy)

Each application will be considered on its own merits. However for the sake of consistency the Standards Committee will have due regard to its own previous decisions. If there is a recent decision that you wish to draw to the attention of the Standards Committee then please provide details in the box below.

Dispensations were granted for the special BRC meeting on 31 January 2019. The Court has debated the dispensations policy which has been revised since earlier dispensation applications.

L. Other relevant considerations

If you consider that there are any other circumstances relating to your particular application that support your request for a dispensation on one or more of the statutory grounds or that should otherwise be disclosed to the Standards Committee then please provide details in the box below.

This application has the support of the Barbican Residential Committee Chairman.

Will you please notify me and the BRC Chairman when and where the application is to be decided so we may attend the meeting.

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REQUEST FOR A DISPENSATION TO SPEAK AND/OR VOTE WHERE A MEMBER / CO-OPTED MEMBER HAS A DISCLOSABLE PECUNIARY INTEREST

The City of London Corporation may, following a written request, grant a dispensation for a Member (including a Co-opted Member) to take part in any discussion and vote on a matter in which they have a disclosable pecuniary interest, in some circumstances. The granting of dispensations is a function of the Standards Committee and its Dispensations Sub-Committee (referred to collectively in this form as “the Standards Committee”). You are strongly advised to read the accompanying ‘Policy and guidance on the granting of dispensations’ (“the Policy”) issued by the Standards Committee before completing this form and particular paragraphs of that document are cross-referenced at appropriate points below.

The information you provide in this form will be considered by the Standards Committee in reaching a decision. **The onus is on you to justify your application and the omission of any relevant information may affect the outcome.** You are requested to complete this form electronically and email it to declarations@cityoflondon.gov.uk. Electronic applications will only be accepted from your official City of London Corporation email address. Alternatively, paper forms can be submitted to the Committee and Member Services Team in the Town Clerk’s Department, but typed forms should be provided if at all possible.

Name:

Date:

☐ I confirm that I am the person named above and that I have personally completed this form or reviewed its contents. The details provided in this form are true and accurate and all material facts have been disclosed.

If submitting a paper form, please also sign in the box below.

Signature:

Relevant disclosable pecuniary interests (Appendix 1 of the Policy)

Please describe the nature of the disclosable pecuniary interest(s) that would otherwise prohibit you from speaking and/or voting:

Lessee of 133 Cromwell Tower, Barbican, London EC2Y 8DD

Details of dispensation sought (paragraphs 7-12 of the Policy)**A. Complete this section if you are applying for a dispensation from the Town Clerk under delegated authority (paragraph 17 of the Policy)**

I request a dispensation until the Ward elections in 2021 to enable me:

- ☐ to speak and vote on the setting of council tax
- ☐ to speak as a member of the public on planning applications
(I confirm that I am not a member of the Planning Committee)
- ☐ to speak as a member of the public on licensing applications
(I confirm that I am not a member of the Licensing Committee)
- ☐ where I have a lease or tenancy, corporate tenancy or licence to occupy a residential property from the City of London Corporation, to speak on general housing matters, so long as the item of business does not relate particularly to my own disclosable pecuniary interest
(This includes any lease or tenancy, corporate tenancy or licence belonging to a spouse, civil partner, or person with whom I am living as husband or wife, or as if we were civil partners)

B. Complete this section if you are applying for a dispensation from the Standards Committee (paragraphs (b), (c) and (j) in Appendix 3 of the Policy)

I request a dispensation to enable me to:

- ☐ speak as a member of the public
- ☒ speak as a Member
- ☐ vote

on the following matter(s):

All matters relating to the Barbican residential estate except any matter relating exclusively to Cromwell Tower (and not generally to the whole estate).

for a specific committee meeting or meetings, or for a specific period, as follows:

For the period from the date hereof to April 2020

If your request is urgent or at short notice please explain why the application is being made now:

There is a meeting of the Barbican Residential Committee on 18 March 2019. The application is being made now as the revised dispensation policy only became before the Court on 7 March 2019.

Statutory grounds (paragraphs 13-16 of the Policy)

A dispensation may only be granted where one of the statutory grounds is met. The grounds that are relevant to the City of London Corporation are set out below. Please tick the statutory ground(s) that you consider to be met in this instance and then explain in more detail why you believe this to be the case in the relevant text box.

- ☒ **Without the dispensation the proportion of Members and Co-opted Members prohibited from participating in any particular business would be so great as to impede the transaction of the business**

The resident members are a significant proportion of the Barbican Residential Committee and need to be allowed to speak to properly inform the decisions to be taken.

- ☒ **Granting the dispensation is in the interests of persons living in the City**

The Barbican estate contains about half the City's residents. The views of residents are important to demonstrate the City takes proper account of the resident population as well as the business community.

- ☒ **It is otherwise appropriate to grant a dispensation**

The issues are important to Barbican residents as a whole, both long lessees and short term tenants.

Please note that if you are only applying for one or more of the dispensations that the Town Clerk is able to grant under delegated authority then you do not need to fill in or submit the remaining sections of this form. The remainder of this form only needs to be completed if you are applying for a dispensation to the Standards Committee.

Factors to be taken into consideration by the Standards Committee

In deciding whether or not to grant a dispensation under one or more of the specific statutory grounds, the Standards Committee will take into account the following list of factors, as well as any other relevant circumstances as appropriate. Please address as many of these factors as you can by providing the requested information where applicable in the relevant text box.

A. Maintaining public confidence (paragraph (a) in Appendix 3 of the Policy)

If you believe that granting a dispensation in this case would not risk damaging public confidence in the conduct of the City Corporation's business then please explain why in the box below.

The dispensations are to speak only. The issues potentially affect a large proportion of the City's residents. I do not have an interest in any of the matters to be discussed greater than any other Barbican resident. Not to grant the dispensations sought may risk damaging the public perception in the City Corporation by appearing to exclude, or silence, Barbican residents in matters that are important to them.

B. Applications to vote (paragraph (b) in Appendix 3 of the Policy)

Dispensations to vote will only be granted in exceptional circumstances. If you are seeking such a dispensation then please explain in the box below why such exceptional circumstances apply in this case.

I am not seeking a dispensation to vote.

C. Equivalent public rights (paragraph (c) in Appendix 3 of the Policy)

If you expect that members of the public will be allowed to speak at the meeting in question, whether under a statutory right or some other reasonable expectation, then please provide details in the box below. Where such speaking rights arise from the submission of a written representation please confirm whether you have submitted such a representation. Please also confirm whether you are willing to be treated as a member of the public when making oral representations on this matter.

This is not applicable to this application.

D. Expectation of ward representation (paragraph (d) in Appendix 3 of the Policy)

If you believe that there is a reasonable expectation that your ward should be directly represented in relation to this item of business then please explain why in the box below.

I am a member for one of the two wards that encompass the Barbican estate. There is a reasonable expectation that the ward should be represented on the items of business to be discussed at the meeting. Housing governance is an issue that is very important to Barbican residents and forms part of the character of the estate. The other issues have general implications for Barbican residents and the cost of taking enforcement action (if that is the decision) will fall on Barbican residents via the service charge.

E. Widely held interests (paragraph (e) in Appendix 3 of the Policy)

If you consider that you share the disclosable pecuniary interest in question with a significant proportion of the general public then please provide details in the box below.

The interest is widely held amongst Barbican residents.
My interest is the same (no greater and no less) than all other Barbican estate long lessees.

F. Directly impacted interests (paragraph (f) in Appendix 3 of the Policy)

If the item of business for which you are seeking a dispensation relates specifically to your disclosable pecuniary interest (e.g. your particular lease or tenancy) then please provide details in the box below. By contrast, if you consider that you have a disclosable pecuniary interest in this matter but that any potential advantage arising is minor or remote then please also provide details below.

The interest does not relate specifically to my DPI.
My interest is the same (no greater and no less) than all other Barbican estate long lessees.

G. Personal knowledge, etc. (paragraph (g) in Appendix 3 of the Policy)

If you consider that your particular knowledge, role or expertise would be of especial value to the decision making process and provide a perspective that would not otherwise be available then please provide details in the box below.

I have knowledge of the Barbican estate, and the views of residents that are not generally known to the non-resident (voting) members of the Committee, two of whom are new to the committee. I consider my knowledge will be helpful in informing the decisions that need to be taken (and the Chairman agrees).

H. Diversity and inclusion (paragraph (h) in Appendix 3 of the Policy)

If you have a particular viewpoint arising from a protected characteristic that might not otherwise be represented and might assist the debate in relation to the particular item of business in question then please provide details in the box below.

There are diversity issues in respect of concessions to disabled users of the car parks. Apart from this, because this is an application for a period, and not a specific meeting, I am not presently aware of any diversity issues in relation to the items of business which may be discussed but such issues may arise.

I. Manifesto promises (paragraph (i) in Appendix 3 of the Policy)

If you were elected on a public platform that you would specifically address the item or items of business for which the dispensation is sought then please provide details in the box below. If this appeared as a commitment in your election material then please quote the relevant text.

I was elected on a public platform that I would represent the interests of Ward residents and in particular Barbican residents. My electorate expect me to carry out my election pledges and speak up for their interests, especially at the Barbican Residential Committee.

J. Scope and duration (paragraph (j) in Appendix 3 of the Policy)

A focussed dispensation request that relates to a specific matter and is of short duration is more likely to be successful as this enables the Standards Committee to consider a particular set of circumstances. However there will be occasions when a more general dispensation for a longer term may be appropriate. If you are applying for such a dispensation then please explain your reasons in the box below.

In line with the policy approved by the Court on 7 March I am seeking a dispensation for a period rather than for each meeting; essentially the same considerations will arise for each meeting. I am seeking a dispensation to April 2020, the date of the 2020 Court meeting appointing committees, so save seeking a dispensation for the March 2019 BRC meeting and repeating a virtually identical application for the year to April 2020.

K. Previous dispensation decisions (paragraph (k) in Appendix 3 of the Policy)

Each application will be considered on its own merits. However for the sake of consistency the Standards Committee will have due regard to its own previous decisions. If there is a recent decision that you wish to draw to the attention of the Standards Committee then please provide details in the box below.

Dispensations were granted for the special BRC meeting on 31 January 2019. The Court has debated the dispensations policy which has been revised since earlier dispensation applications.

L. Other relevant considerations

If you consider that there are any other circumstances relating to your particular application that support your request for a dispensation on one or more of the statutory grounds or that should otherwise be disclosed to the Standards Committee then please provide details in the box below.

This application has the support of the Barbican Residential Committee Chairman.

Will you please notify me and the BRC Chairman when and where the application is to be decided so we may attend the meeting.

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REQUEST FOR A DISPENSATION TO SPEAK AND/OR VOTE WHERE A MEMBER / CO-OPTED MEMBER HAS A DISCLOSABLE PECUNIARY INTEREST

The City of London Corporation may, following a written request, grant a dispensation for a Member (including a Co-opted Member) to take part in any discussion and vote on a matter in which they have a disclosable pecuniary interest, in some circumstances. The granting of dispensations is a function of the Standards Committee and its Dispensations Sub-Committee (referred to collectively in this form as “the Standards Committee”). You are strongly advised to read the accompanying ‘Policy and guidance on the granting of dispensations’ (“the Policy”) issued by the Standards Committee before completing this form and particular paragraphs of that document are cross-referenced at appropriate points below.

The information you provide in this form will be considered by the Standards Committee in reaching a decision. **The onus is on you to justify your application and the omission of any relevant information may affect the outcome.** You are requested to complete this form electronically and email it to declarations@cityoflondon.gov.uk. Electronic applications will only be accepted from your official City of London Corporation email address. Alternatively, paper forms can be submitted to the Committee and Member Services Team in the Town Clerk’s Department, but typed forms should be provided if at all possible.

Name:

Date:

☒ I confirm that I am the person named above and that I have personally completed this form or reviewed its contents. The details provided in this form are true and accurate and all material facts have been disclosed.

If submitting a paper form, please also sign in the box below.

Signature:

Relevant disclosable pecuniary interests (Appendix 1 of the Policy)

Please describe the nature of the disclosable pecuniary interest(s) that would otherwise prohibit you from speaking and/or voting:

Tenancy of 15 Defoe House, Barbican, London EC2Y 8ES

Details of dispensation sought (paragraphs 7-12 of the Policy)**A. Complete this section if you are applying for a dispensation from the Town Clerk under delegated authority (paragraph 17 of the Policy)**

I request a dispensation until the Ward elections in 2021 to enable me:

- ☐ to speak and vote on the setting of council tax
- ☐ to speak as a member of the public on planning applications
(I confirm that I am not a member of the Planning Committee)
- ☐ to speak as a member of the public on licensing applications
(I confirm that I am not a member of the Licensing Committee)
- ☐ where I have a lease or tenancy, corporate tenancy or licence to occupy a residential property from the City of London Corporation, to speak on general housing matters, so long as the item of business does not relate particularly to my own disclosable pecuniary interest
(This includes any lease or tenancy, corporate tenancy or licence belonging to a spouse, civil partner, or person with whom I am living as husband or wife, or as if we were civil partners)

B. Complete this section if you are applying for a dispensation from the Standards Committee (paragraphs (b), (c) and (j) in Appendix 3 of the Policy)

I request a dispensation to enable me to:

- ☐ speak as a member of the public
- ☒ speak as a Member
- ☐ vote

on the following matter(s):

All matters relating to the Barbican residential estate except any matter relating exclusively to Flats 1 to 58 (inclusive) Defoe House (and not generally to the whole estate).

for a specific committee meeting or meetings, or for a specific period, as follows:

For the period from the date hereof to April 2020

The application is being made now as the revised dispensation policy only became before the Court on 7 March 2019.

If your request is urgent or at short notice please explain why the application is being made now:

Statutory grounds (paragraphs 13-16 of the Policy)

A dispensation may only be granted where one of the statutory grounds is met. The grounds that are relevant to the City of London Corporation are set out below. Please tick the statutory ground(s) that you consider to be met in this instance and then explain in more detail why you believe this to be the case in the relevant text box.

- ☒ **Without the dispensation the proportion of Members and Co-opted Members prohibited from participating in any particular business would be so great as to impede the transaction of the business**

The resident members are a significant proportion of the Barbican Residential Committee and need to be allowed to speak to properly inform the decisions to be taken.

- ☒ **Granting the dispensation is in the interests of persons living in the City**

The Barbican estate contains about half the City's residents. The views of residents are important to demonstrate the City takes proper account of the resident population as well as the business community.

- ☒ **It is otherwise appropriate to grant a dispensation**

The issues are important to Barbican residents as a whole, both long lessees and short term tenants.

Please note that if you are only applying for one or more of the dispensations that the Town Clerk is able to grant under delegated authority then you do not need to fill in or submit the remaining sections of this form. The remainder of this form only needs to be completed if you are applying for a dispensation to the Standards Committee.

Factors to be taken into consideration by the Standards Committee

In deciding whether or not to grant a dispensation under one or more of the specific statutory grounds, the Standards Committee will take into account the following list of factors, as well as any other relevant circumstances as appropriate. Please address as many of these factors as you can by providing the requested information where applicable in the relevant text box.

A. Maintaining public confidence (paragraph (a) in Appendix 3 of the Policy)

If you believe that granting a dispensation in this case would not risk damaging public confidence in the conduct of the City Corporation's business then please explain why in the box below.

The dispensations are to speak only. The issues potentially affect a large proportion of the City's residents. I do not have an interest in any of the matters to be discussed greater than any other Barbican resident. Not to grant the dispensations sought may risk damaging the public perception in the City Corporation by appearing to exclude, or silence, Barbican residents in matters that are important to them.

B. Applications to vote (paragraph (b) in Appendix 3 of the Policy)

Dispensations to vote will only be granted in exceptional circumstances. If you are seeking such a dispensation then please explain in the box below why such exceptional circumstances apply in this case.

I am not seeking a dispensation to vote.

C. Equivalent public rights (paragraph (c) in Appendix 3 of the Policy)

If you expect that members of the public will be allowed to speak at the meeting in question, whether under a statutory right or some other reasonable expectation, then please provide details in the box below. Where such speaking rights arise from the submission of a written representation please confirm whether you have submitted such a representation. Please also confirm whether you are willing to be treated as a member of the public when making oral representations on this matter.

This is not applicable to this application.

D. Expectation of ward representation (paragraph (d) in Appendix 3 of the Policy)

If you believe that there is a reasonable expectation that your ward should be directly represented in relation to this item of business then please explain why in the box below.

I am a member for one of the two wards that encompass the Barbican estate. There is a reasonable expectation that the ward should be represented on the items of business to be discussed at the meeting. Housing governance is an issue that is very important to Barbican residents and forms part of the character of the estate. The other issues have general implications for Barbican residents and the cost of taking enforcement action (if that is the decision) will fall on Barbican residents via the service charge.

E. Widely held interests (paragraph (e) in Appendix 3 of the Policy)

If you consider that you share the disclosable pecuniary interest in question with a significant proportion of the general public then please provide details in the box below.

The interest is widely held amongst Barbican residents.
My interest is the same (no greater and no less) than all other Barbican estate long lessees.

F. Directly impacted interests (paragraph (f) in Appendix 3 of the Policy)

If the item of business for which you are seeking a dispensation relates specifically to your disclosable pecuniary interest (e.g. your particular lease or tenancy) then please provide details in the box below. By contrast, if you consider that you have a disclosable pecuniary interest in this matter but that any potential advantage arising is minor or remote then please also provide details below.

The interest does not relate specifically to my DPI.
My interest is the same (no greater and no less) than all other Barbican estate long lessees.

G. Personal knowledge, etc. (paragraph (g) in Appendix 3 of the Policy)

If you consider that your particular knowledge, role or expertise would be of especial value to the decision making process and provide a perspective that would not otherwise be available then please provide details in the box below.

I have knowledge of the Barbican estate, and the views of residents that are not generally known to the non-resident (voting) members of the Committee, two of whom are new to the committee. I consider my knowledge will be helpful in informing the decisions that need to be taken (and the Chairman agrees).

H. Diversity and inclusion (paragraph (h) in Appendix 3 of the Policy)

If you have a particular viewpoint arising from a protected characteristic that might not otherwise be represented and might assist the debate in relation to the particular item of business in question then please provide details in the box below.

There are diversity issues in respect of concessions to disabled users of the car parks. Apart from this, because this is an application for a period, and not a specific meeting, I am not presently aware of any diversity issues in relation to the items of business which may be discussed but such issues may arise.

I. Manifesto promises (paragraph (i) in Appendix 3 of the Policy)

If you were elected on a public platform that you would specifically address the item or items of business for which the dispensation is sought then please provide details in the box below. If this appeared as a commitment in your election material then please quote the relevant text.

I was elected on a public platform that I would represent the interests of Ward residents and in particular Barbican residents. My electorate expect me to carry out my election pledges and speak up for their interests, especially at the Barbican Residential Committee.

J. Scope and duration (paragraph (j) in Appendix 3 of the Policy)

A focussed dispensation request that relates to a specific matter and is of short duration is more likely to be successful as this enables the Standards Committee to consider a particular set of circumstances. However there will be occasions when a more general dispensation for a longer term may be appropriate. If you are applying for such a dispensation then please explain your reasons in the box below.

In line with the policy approved by the Court on 7 March I am seeking a dispensation for a period rather than for each meeting; essentially the same considerations will arise for each meeting. I am seeking a dispensation to April 2020, the date of the 2020 Court meeting appointing committees, so save seeking a dispensation for the March 2019 BRC meeting and repeating a virtually identical application for the year to April 2020.

K. Previous dispensation decisions (paragraph (k) in Appendix 3 of the Policy)

Each application will be considered on its own merits. However for the sake of consistency the Standards Committee will have due regard to its own previous decisions. If there is a recent decision that you wish to draw to the attention of the Standards Committee then please provide details in the box below.

Dispensations were granted for the special BRC meeting on 31 January 2019. The Court has debated the dispensations policy which has been revised since earlier dispensation applications.

L. Other relevant considerations

If you consider that there are any other circumstances relating to your particular application that support your request for a dispensation on one or more of the statutory grounds or that should otherwise be disclosed to the Standards Committee then please provide details in the box below.

This application has the support of the Barbican Residential Committee Chairman.

Defoe House has a number of separate staircases. I live in Flat 15 and the staircase which serves this flat serves flats 13 to 28. The staircase to the west serves flats 1 to 12 (the end of the block) and the two staircases to the east serve flats 29 to 42 and 43 to 58. Given the large size of Defoe House I consider that beyond those flats there are no matters which might affect my flat that do not affect all the properties on the Barbican estate.

Will you please notify me and the BRC Chairman when and where the application is to be decided so we may attend the meeting.

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Standards Committee

List of Dispensations Requested – May 2017 onwards

N.b. These dispensations are entirely permissive in nature and do not impose any restrictions on speaking or voting where no such restrictions otherwise exist.

	Name	Granted/ Rejected	Date	Comment
1.	Randall Anderson	Partially granted (Speaking rights only) (Standards Committee)	19/05/17	A dispensation be granted until the ward Elections in 2021 to speak at meetings where matters relating to charging policy for car parking and stores are under consideration but the request for a dispensation to vote on such matters, should he have a disclosable pecuniary interest, be refused. Expires in March 2021.
2.	Deputy David Bradshaw	Rejected (Standards Committee)	19/05/17	The Committee felt that further information was required and that the application was too wide reaching by requesting to speak and/or vote on any matter impacting on either Barbican or Golden Lane residents.

3.	Deputy Joyce Nash	Partially granted (Speaking rights only) (Standards Committee)	19/05/17	<p>A dispensation be granted until the ward Elections in 2021 to speak at meetings where the charging policy for Car Parking and Stores in the Barbican are under consideration but the request for a dispensation to vote on such matters, should she have a disclosable pecuniary interest be refused.</p> <p>Expires in March 2021.</p>
4.	Mary Durcan	Partially granted (speaking rights only at a specific meeting) (Standards Committee)	19/05/17	<p>A dispensation be granted for a specific meeting of the Barbican Residential Committee on 5th June 2017 only to speak on the charging policy for Barbican car parking and storage spaces charging but the request for a dispensation to vote on such matters be refused. The limited duration of the dispensation reflects the application.</p> <p>Expires after 5th June 2017. = EXPIRED</p>

5.	Barbara Newman	Partially granted (Speaking rights only) (Standards Committee)	19/05/17	<p>A dispensation be granted from 16/5/17 to 1/8/17 to speak at meetings where Car Parking charges are under consideration but the request for a dispensation to vote on such matters, should she have a disclosable pecuniary interest, be refused;</p> <p>Dispensation valid between 19/05/17 and 01/08/17. = EXPIRED</p>
6.	William Pimlott	Partially granted (Speaking rights only) (Standards Committee)	19/05/17	<p>A dispensation be granted until the ward Elections in 2021 to speak at meetings where Parking for Barbican Residents is under consideration but the request for a dispensation to vote on such matters, be refused</p> <p>Expires in March 2021.</p>

7.	John Tomlinson	Rejected (Standards Committee)	19/05/17	The Committee felt that further information was required and that the application was too wide reaching by requesting to speak and/or vote on any matter at both the Barbican Residential Committee and the Community & Children's Services Committee.
8.	Stephen Quilter	Partially granted (Speaking rights only) (Standards Committee)	19/05/17	A dispensation be granted until the ward Elections in 2021 to speak on Car Parking and Baggage Stores in the Barbican at meetings of the Barbican Residential but the request for a dispensation to vote on such matters be refused. Expires in March 2021.

9.	Sue Pearson	Rejected (Standards Committee)	19/05/17	The Committee felt that further information was required (particularly in terms of which of the criteria the Member considered had been met where no explanation was provided) and that the application was too wide reaching by requesting to speak and/or vote on any housing and other matters to do with Golden Lane Estate.
10.	Gregory Lawrence	Partially granted (Speaking rights only) (Standards Committee)	19/05/17	A dispensation be granted until the ward Elections in 2021 to speak on all matters concerning the London Central Markets, other than those in which he has a direct pecuniary interest as a shareholder or director of any company which holds a tenancy in the market, and which would affect only him personally or his business interests as opposed to the generality of the tenants within the market. The request for a dispensation to vote on such matters be refused. Expires in March 2021.

11.	Oliver Sells QC	Rejected (Standards Committee)	19/05/17	The Committee felt that further information was required and that the application was too wide reaching by requesting to speak and/or vote on any matter relating to his residency in the City of London and membership of the Inner Temple.
12.	David Bradshaw	Granted (Standards Committee under urgency procedures (SO 41(a)))	01/06/17	<p>A dispensation be granted to speak on car parking charging at the meetings of the Barbican Residential Committee on 5th June 2017. The dispensation to speak will expire after 5th June 2017. = EXPIRED</p> <p>The dispensation is entirely permissive in nature and does not impose any restrictions on speaking or voting where no such restrictions otherwise exist.</p> <p>NB. Mr Bradshaw has a pecuniary interest in this matter and acknowledges that he cannot vote on the item.</p>

13.	Deputy Edward Lord	Granted (Standards Committee under delegated authority procedures (SO 41(b))	10/10/17	A dispensation be granted under Section 33 (2) of the Localism Act 2011 to enable Deputy Edward Lord, as a City resident, to speak and vote on matters concerning the setting of council tax.
14.	Deputy David Bradshaw	Partially granted (speaking rights only) (Dispensations Sub Committee)	13/03/18	<p>A dispensation be granted to speak only at Barbican Residential Committee on 19 March 2018 on (i) concrete repairs to the Barbican Estate, (ii) lease enforcement dealing with breaches and (iii) the annual rent review.</p> <p>The request to vote on (iii) above was refused.</p> <p>Expires after 19 March 2018. = EXPIRED</p>

15.	Deputy John Tomlinson	Partially granted (speaking rights only) (Dispensations Sub Committee)	13/03/18	<p>A dispensation be granted to speak only at Barbican Residential Committee on 19 March 2018 on (i) concrete repairs, (ii) lease enforcement and (iii) any discussion which may arise on a possible review of the current composition and terms of reference of the Barbican Residential Committee.</p> <p>The request to vote on (i) to (iii) above was refused.</p> <p>Expires after 19 March 2018. = EXPIRED</p>
16.	Mark Bostock	Partially granted (speaking rights only) (Dispensations Sub Committee)	13/03/18	<p>A dispensation be granted to speak only at Barbican Residential Committee on 19 March 2018 on Barbican car park charges.</p> <p>The request to vote on the above was refused.</p> <p>Expires after 19 March 2018. = EXPIRED</p>

17.	Susan Pearson	Granted (Dispensations Sub Committee)	13/03/18	<p>A dispensation be granted to speak on planning application 17/00770/FULL (former Richard Cloudesley School) at the Planning and Transportation Committee on 26 March 2018 and subsequent meetings of that Committee when planning application 17/00770/FULL is discussed.</p> <p>EXPIRED</p>
18.	Ann Holmes	Rejected (Dispensations Sub Committee)	13/03/18	<p>Request refused to speak on planning and licensing matters until the ward elections in 2021.</p> <p>The Sub-Committee considered the request to be too wide reaching – rather than focussing on specific applications or matters in proximity to the Member's property – and for too long a period. The dispensation request was therefore refused.</p>

19.	Mark Bostock	Partially granted (speaking rights only) (Standards Committee under urgency procedures (SO 41(a))	06/04/18	A dispensation be granted to speak on planning application 17/00909/FULL (Barbican Estate) at the Planning and Transportation Committee on 9 April 2018. Expires after 9 April 2018 = EXPIRED
20.	Mark Bostock	Partially granted (speaking rights only) (Standards Committee) **Standards Committee under delegated authority (SO 41(b))	18/05/18	A dispensation be granted to speak only on Ben Jonson, Breton, Thomas More, Benyon and Willoughby Houses, Barbican residential car park and stores** at the Barbican Residential Committee on 4 June 2018. Expires after 4 June 2018 = EXPIRED

21.	Deputy John Tomlinson	Partially granted (speaking rights only) (Standards Committee)	18/05/18	<p>A dispensation be granted to speak only at Barbican Residential Committee on 4 June 2018 on (i) car park charges and stores</p> <p>The request to vote and speak on (ii) concrete repairs was refused as this was not on the agenda, and (iii) any discussion which may arise on a possible review of the current composition and terms of reference of the Barbican Residential Committee was refused as no proposal for this currently exists.</p> <p>Expires after 4 June 2018 = EXPIRED</p>
22.	Deputy David Bradshaw	Partially granted (speaking rights only until 4 June 18) (Standards Committee)	18/05/18	<p>A dispensation be granted to speak only on Baggage stores in the Barbican at the Barbican Residential Committee on 4 June 2018.</p> <p>Expires after 4 June 2018 = EXPIRED</p>

23.	Deputy Edward Lord	Rejected (Standards Committee under delegated authority (SO 41(b)))	21/05/18	Request refused for a dispensation to speak and vote at Hospitality Working Party on 22 May 18 on an application for the use of Guildhall by the Global Tobacco and Nicotine Forum (GTNF) at the meeting of the Hospitality Working Party on 22 May 2018.
24.	William Pimlott	Partially granted (Standards Committee under delegated authority (SO 41(b)))	31/5/18	<p>A dispensation be granted to speak only on Ben Jonson, Breton, Thomas More, Benyon and Willoughby Houses, Barbican residential car park and stores at the Barbican Residential Committee on 4 June 2018.</p> <p>Expires after 4 June 2018 = EXPIRED</p>

25.	Mary Durcan	(Standards Committee under delegated authority (SO 41(b)))	31/5/18	<p>A dispensation be granted to speak only on Ben Jonson, Breton, Thomas More, Benyon and Willoughby Houses, Barbican residential car park and stores at the Barbican Residential Committee on 4 June 2018.</p> <p>Expires after 4 June 2018 = EXPIRED</p>
26.	Mark Bostock	(Standards Committee under delegated authority (SO 41(b)))	31/5/18	<p>A dispensation be granted to speak only on Ben Jonson, Breton, Thomas More, Benyon and Willoughby Houses, Barbican residential car park and stores at the Barbican Residential Committee on 4 June 2018.</p> <p>Expires after 4 June 2018 = EXPIRED</p>

27.	Alderman Ian Luder	Partially granted (Standards Committee under delegated authority (SO 41(b))	4/06/18	A dispensation be granted to speak only at Police Committee and Court on security of entrances to the Barbican complex and various walkways until next ward elections in 2021. Expires in March 2021.
28.	Alderman Ian Luder	Granted (Standards Committee under delegated authority (SO 41(b))	4/06/18	A dispensation be granted under Section 33 (2) of the Localism Act 2011 to enable Alderman Ian Luder, as a City resident, to speak and vote on matters concerning the Corporation's budget and setting of council tax.
29.	Mark Bostock	Partially granted (speaking rights only) (Dispensations Sub Committee)	11/06/18	A dispensation be granted to speak only at Barbican Residential Committee on 21 June 2018 on charging policy for car parking and stores, Barbican Estate Expires after 21 June 2018 = EXPIRED

30.	Deputy David Bradshaw	Rejected (Dispensations Sub Committee)	11/06/18	Request refused for a dispensation to speak on car parking and baggage stores costs at Barbican Residential Committee on 21 June 2018
31.	Deputy Joyce Nash	Rejected (Dispensations Sub Committee)	11/06/18	Request refused for a dispensation to vote on car park charges and store room charges at Barbican Residential Committee until ward elections in 2021. NB (see above on page 2) – Deputy Nash still has a dispensation to speak on these matters at Barbican Residential Committee until ward elections in 2021.
32.	Deputy John Tomlinson	Rejected (Dispensations Sub Committee)	11/06/18	Request refused for a dispensation to speak and vote on car park charges and store room charges at Barbican Residential Committee on 21 June 2018.

33.	Mary Durcan	Rejected (Dispensations Sub Committee)	11/06/18	Request refused for a dispensation to speak and vote on car park charges and store room charges at Barbican Residential Committee on 21 June 2018.
34.	William Pimlott	Dispensations Sub Committee	11/06/18	<p>On facts described by Mr Pimlott in his application, the Committee's view is that he could not have a disclosable pecuniary interest, and therefore it would not be appropriate to grant him a dispensation.</p> <p>However, the Committee's guidance is that, in its view, it would not be a breach of paragraph 14 of the Code for him to speak only (but not vote) on the matters in question.</p>

35.	Randall Anderson	Partially granted (speaking rights only) (Standards Committee under urgency procedures (SO 41(a))	04/07/18	Dispensation to speak on agenda item 4 of the Policy and Resources Committee agenda for 5 July 2018, entitled Housing Governance Review. Expires after 5 July 2018 = EXPIRED
36.	Vivienne Littlechild	Granted (Standards Committee under urgency procedures (SO 41(a))	04/07/18	Dispensation to speak on agenda item 4 of the Policy and Resources Committee agenda for 5 July 2018, entitled Housing Governance Review. Expires after 5 July 2018 = EXPIRED
37.	Alderman Ian Luder	Partially granted (Standards Committee under urgency procedures (SO 41(a))	04/07/18	Dispensation to speak on agenda item 4 of the Policy and Resources Committee agenda for 5 July 2018, entitled Housing Governance Review. Expires after 5 July 2018 = EXPIRED

38.	Deputy Catherine McGuinness	Partially granted (speaking rights only) (Standards Committee under urgency procedures (SO 41(a))	04/07/18	Dispensation to speak on agenda item 4 of the Policy and Resources Committee agenda for 5 July 2018, entitled Housing Governance Review. Expires after 5 July 2018 = EXPIRED
39.	Deputy John Tomlinson	Partially granted (speaking rights only) (Standards Committee under urgency procedures (SO 41(a))	04/07/18	Dispensation to speak on agenda item 4 of the Policy and Resources Committee agenda for 5 July 2018, entitled Housing Governance Review. Expires after 5 July 2018 = EXPIRED

40.	Susan Pearson	Granted (Standards Committee under urgency procedures (SO 41(a)))	04/07/18	<p>Dispensation to speak on planning applications 18/00506/FULL, 18/00409/FULL and 18/00410/LBC Conversion at Planning and Transportation Committee either on 10 July 2018 or at later meeting when the applications are considered.</p> <p>Expires after 10 July 2018 or when applications are considered at Planning and Transportation Committee = EXPIRED</p>
41.	Shravan Joshi	<p>Rejected (Dispensations Sub-Committee)</p> <p><i>(tabled as a late item upon receipt via email on 21/08/18)</i></p>	21/08/18	<p>Request refused to speak and vote as a Governor of the Board of Governors of the City of London Freeman's School on all business before the Board except the setting of school fees, and the award of bursaries from the City of London Freeman's School Bursary Fund (Charity Registration No 284769).</p>

42.	Oliver Sells	Rejected (Dispensations Sub-Committee)	21/08/18	Request refused to speak on the following matter: Planning Application re: Inner Temple Temporary Structures expected to be considered by the Planning & Transportation Committee on 11/09/18.
43.	Randall Anderson	Partially granted (Dispensations Sub (Standards) Committee	10/09/18	Dispensation to speak only on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier. Expires on 31 December 2019 or when current review is concluded whichever is the earlier.

44.	Deputy Joyce Nash	Partially granted (Dispensations Sub (Standards) Committee	10/09/18	Dispensation to speak only on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier. Expires on 31 December 2019 or when current review is concluded whichever is the earlier.
45.	Alderman Ian Luder	Partially granted (Dispensations Sub (Standards) Committee	10/09/18	Dispensation to speak only on relevant aspects of housing fire safety and residents' safety post Grenfell until next ward elections in 2021. Expires in March 2021.
46.	Alison Gowman	Rejected (Dispensations Sub (Standards) Committee	10/09/18	Request refused to speak / vote on housing governance review until next ward elections in 2021.

47.	Deputy David Bradshaw	Partially granted (Dispensations Sub (Standards) Committee	10/09/18	Dispensation to speak only on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier. Expires on 31 December 2019 or when current review is concluded whichever is the earlier.
48.	Mary Durcan	Partially granted (Dispensations Sub (Standards) Committee	10/09/18	Dispensation to speak only on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier. Expires on 31 December 2019 or when current review is concluded whichever is the earlier.
49.	Deputy Catherine McGuinness	Rejected (Dispensations Sub (Standards) Committee	10/09/18	Request refused to speak on housing governance review at committees on which she serves, including Policy and Resources (although she would not chair).

50.	Deputy John Tomlinson	Partially granted (Dispensations Sub (Standards) Committee	10/09/18	<p>Dispensation to speak only on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.</p> <p>Expires on 31 December 2019 or when current review is concluded whichever is the earlier.</p>
51.	Mark Bostock	Partially granted (Dispensations Sub (Standards) Committee	10/09/18	<p>Dispensation to speak only on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.</p> <p>Expires on 31 December 2019 or when current review is concluded whichever is the earlier.</p>

52.	William Pimlott	Partially granted (Dispensations Sub (Standards) Committee	10/09/18	Dispensation to speak only on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier. Expires on 31 December 2019 or when current review is concluded whichever is the earlier.
53.	Susan Pearson	Rejected (Dispensations Sub (Standards) Committee	10/09/18	Request refused to speak at Community and Children's Services Committee and Housing Management and Almshouses Sub-Committee on (i) matters regarding the primary school and social housing to be built on the Richard Cloudesley School site adjacent to Golden Lane; (ii) management and charges of Golden Lane Estate; (iii) renovation of housing blocks on Golden Lane Estate.

54.	Vivienne Littlechild	Partially granted (Dispensations Sub (Standards) Committee	10/09/18	<p>Dispensation to speak only on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.</p> <p>Expires on 31 December 2019 or when current review is concluded whichever is the earlier.</p>
55.	Barbara Newman	Partially granted (Standards Committee under urgency procedures (SO 41(a))	17/09/18	<p>Dispensation to speak only on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier.</p> <p>Expires on 31 December 2019 or when current review is concluded whichever is the earlier.</p>

56.	Alderman Nicholas Anstee	Partially granted (Standards Committee under urgency procedures (SO 41(a)))	17/09/18	Dispensation to speak only on housing governance review at Barbican Residential Committee until 31 December 2019 or current review is concluded whichever is the earlier. Expires on 31 December 2019 or when current review is concluded whichever is the earlier.
57.	Ann Holmes	Granted (Standards Committee under urgency procedures (SO 41(a)))	21/09/18	Dispensation to speak as member of the public at Licensing (Hearing) Sub Committee on 2 October 2018 on licensing proposal relating to 60 Bartholomew Close. Expires after 2 October 2018 = EXPIRED
58.	Mary Durcan	Rejected (Standards Committee under urgency procedures (SO 41(a)))	21/09/18	Request refused to speak only on housing governance review at Housing Sub (Community and Children's Services) Committee on 24 September 2018.

59.	Deputy Robert Merrett	Rejected Standards Committee	05/10/18	Request refused to speak and or vote on all CLSG matters, but not vote on any matter that has a direct financial impact to himself as a parent of a pupil, such as school fees.
60.	Susan Pearson	Rejected (Standards Committee under urgency procedures (SO 41(a)))	29/10/18	Request refused to speak in respect of agenda item 7 (land transactions – former Richard Cloudesley School site)
61.	Susan Pearson	Rejected (Standards Committee under urgency procedures (SO 41(a)))	29/10/18	Request refused to speak at Community and Children Services Committee and Housing Management and Almshouses Sub Committee until next ward elections in 2021 on a) matters regarding primary school and social housing on former Richard Cloudesley School site; b) matters regarding management and charges of Golden Lane Estate; and c) matters regarding renovation of housing blocks on Golden Lane Estate, with exception of Hatfield House (where she would neither speak nor vote).

62.	Susan Pearson	Partially Granted (Standards Committee – tabled under AOB due to late submission/time limit on decision)	25/01/2019	<p>Dispensation granted to speak only on discharge of condition 5 of planning permission dated 19th July 2018 (planning reference 17/00770/FULL) for the redevelopment of the former site of Richard Cloudesley School for the Planning and Transportation Committee meeting on 29th January 2019 or subsequent meeting when the Planning Application is discussed.</p> <p>EXPIRED</p>
63.	Mark Bostock	Partially Granted (Standards Committee under urgency procedures (SO 41(a)))	30/01/2019	<p>Dispensation to speak only at Barbican Residential Committee on 31 January 2019 on:-</p> <p>a) Electric car charging policy; b) General policy for car parking and stores; c) Housing Governance; and d) Enforcement of the lease covenants on a specific property in the Barbican.</p> <p>Expires after 31 January 2019 = EXPIRED</p>

64.	Mary Durcan	Granted (Standards Committee under urgency procedures (SO 41(a))	30/01/2019	<p>Dispensation to speak only at Barbican Residential Committee on 31 January 2019 on:-</p> <p>a) Electric car charging policy; b) General policy for car parking and stores; c) Housing Governance; and d) Enforcement of the lease covenants on a specific property in the Barbican.</p> <p>Expires after 31 January 2019 = EXPIRED</p>
65.	John Tomlinson	Granted (Standards Committee under urgency procedures (SO 41(a))	30/01/2019	<p>Dispensation to speak only at Barbican Residential Committee on 31 January 2019 on:-</p> <p>a) Electric car charging policy; b) General policy for car parking and stores; c) Housing Governance; and d) Enforcement of the lease covenants on a specific property in the Barbican.</p> <p>Expires after 31 January 2019 = EXPIRED</p>

66.	Ann Holmes	Granted - (Dispensations Sub (Standards) Committee	01/02/19	Dispensation to speak in her capacity as a resident at the Half Cup Licensing Hearing on 04/02/19 Expires after 4 February 2019 = EXPIRED
67.	Mark Bostock	Partially granted - (Standards Committee under urgency procedures (SO 41(a))	18/03/19	Dispensation to speak on general housing matters as identified in dispensations policy and also car parking spaces and private storage spaces at Barbican Residential Committee on 18/03/19. Expires after 18 March 2019 = EXPIRED
68.	Mary Durcan	Partially granted - (Standards Committee under urgency procedures (SO 41(a))	18/03/19	Dispensation to speak on general housing matters as identified in dispensations policy and also car parking spaces and private storage spaces at Barbican Residential Committee on 18/03/19. Expires after 18 March 2019 = EXPIRED

69.	Barbara Newman	Partially granted - (Standards Committee under urgency procedures (SO 41(a))	18/03/19	Dispensation to speak on general housing matters as identified in dispensations policy and also car parking spaces and private storage spaces at Barbican Residential Committee on 18/03/19. Expires after 18 March 2019 = EXPIRED
70.	Deputy David Bradshaw	Partially granted - (Standards Committee under urgency procedures (SO 41(a))	18/03/19	Dispensation to speak on general housing matters as identified in dispensations policy and also car parking spaces and private storage spaces at Barbican Residential Committee on 18/03/19. Expires after 18 March 2019 = EXPIRED

71.	Randall Anderson	Partially granted - (Standards Committee under urgency procedures (SO 41(a))	18/03/19	Dispensation to speak on general housing matters as identified in dispensations policy at Barbican Residential Committee on 18/03/19. Expires after 18 March 2019 = EXPIRED
72.	Deputy John Tomlinson	Partially granted - (Standards Committee under urgency procedures (SO 41(a))	18/03/19	Dispensation to speak on general housing matters as identified in dispensations policy and also car parking spaces and private storage spaces at Barbican Residential Committee on 18/03/19. Expires after 18 March 2019 = EXPIRED

73.	Adrian Bastow	Partially granted - (Standards Committee under urgency procedures (SO 41(a))	18/03/19	Dispensation to speak on general housing matters as identified in dispensations policy and also car parking spaces and private storage spaces at Barbican Residential Committee on 18/03/19. Expires after 18 March 2019 = EXPIRED
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